

Lecture # 37

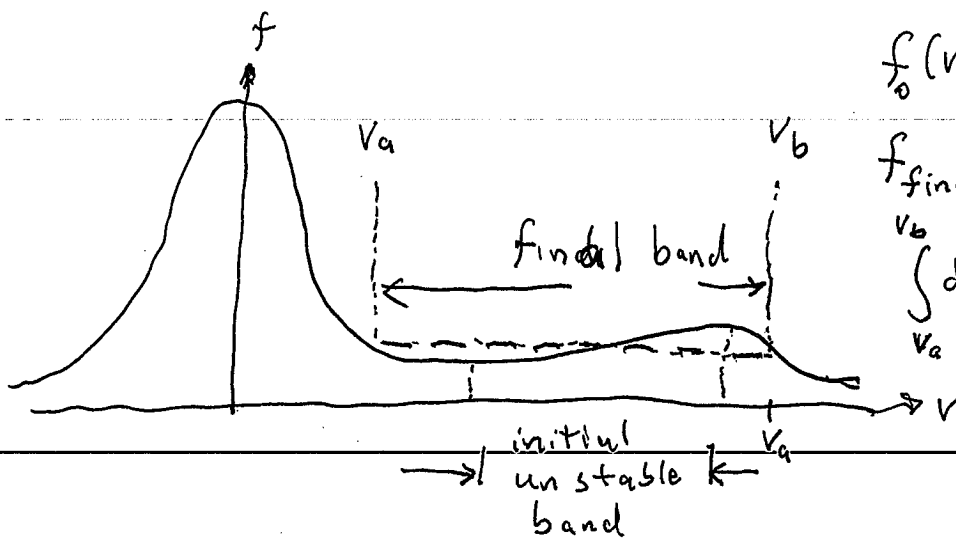
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Implications of  
Quasi-Linear Theory

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Let us discuss the mode saturation in the bump-or-tail instability in some more detail

We saw that the prediction when there is a continuum of modes, the distribution flattens

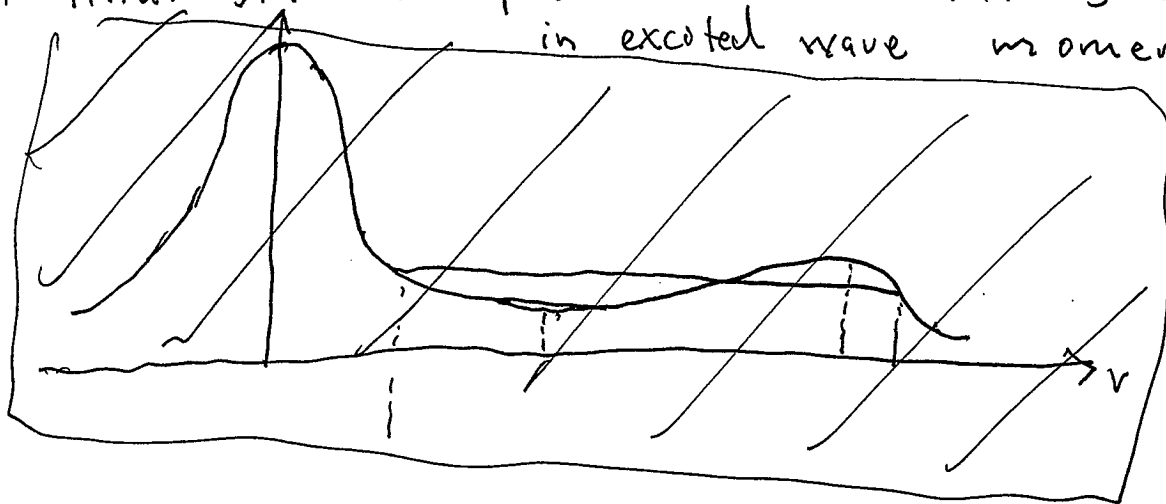


$$f_0(v_a) = f_0(v_b)$$

$$f_{\text{final}} = f_0(v_b) \Theta(v_b - v) \Theta(v - v_a)$$

$$\int_{v_a}^{v_b} dv f_0(v) = f_0(v_b) (v_b - v_a)$$

Further the difference in ~~velocity~~ momentum of final state compared to initial state resides in excited wave momentum



$$\begin{aligned} \Delta P &= P_i - P_f \\ &= m \int_{v_a}^{v_b} dv (v - v_a) (f_0(v) - f_0(v_a)) \\ &= \sum_k k \frac{\partial E(\omega_k, k)}{\partial U} \frac{2 |\bar{E}_k|^2}{8\pi} \end{aligned}$$

$$E = \sum_k \bar{E}_k \exp[-i\omega_k t + ikx] + \text{c.c.}$$

Also recall that quasi-linear equation for the tail particles was

$$\frac{\partial f}{\partial t} - \frac{\partial}{\partial v} \left( \frac{dk}{2\omega} \frac{|\bar{E}_k|^2}{m} \pi \delta(\omega_k - kv) \right) \frac{\partial f}{\partial v} = 0$$

Recall that  $\pi \delta(\omega_k - kv)$  came from  $\lim_{\gamma_k \rightarrow 0} \frac{\gamma_k}{(\omega_k - kv)^2 + \gamma_k^2}$

We will come back to this discussion in a short while.

Now let us consider what happens with a discrete mode

If we had a single mode

$$\frac{d^2 x}{dt^2} = -\frac{zeE}{m} \sin(kx - \omega t)$$

$$\frac{d^2(kx - \omega t)}{dt^2} = -\frac{ze}{m} kE \sin(kx - \omega t)$$

Let  $\psi = kx - \omega t$

$$\omega_b^2 = \frac{ze kE}{m} = \text{square of trapping frequency of a deeply trapped particle}$$

$$\frac{d^2 \psi}{dt^2} + \omega_b^2 \sin \psi = 0$$

$$\frac{d\dot{\psi}}{dt} = \frac{d\dot{\psi}}{dt} \frac{d\psi}{d\psi} = \frac{1}{2} \frac{d\dot{\psi}^2}{d\psi} = -\omega_b^2 \sin \psi = \frac{d}{d\psi} \omega_b^2 \cos \psi$$

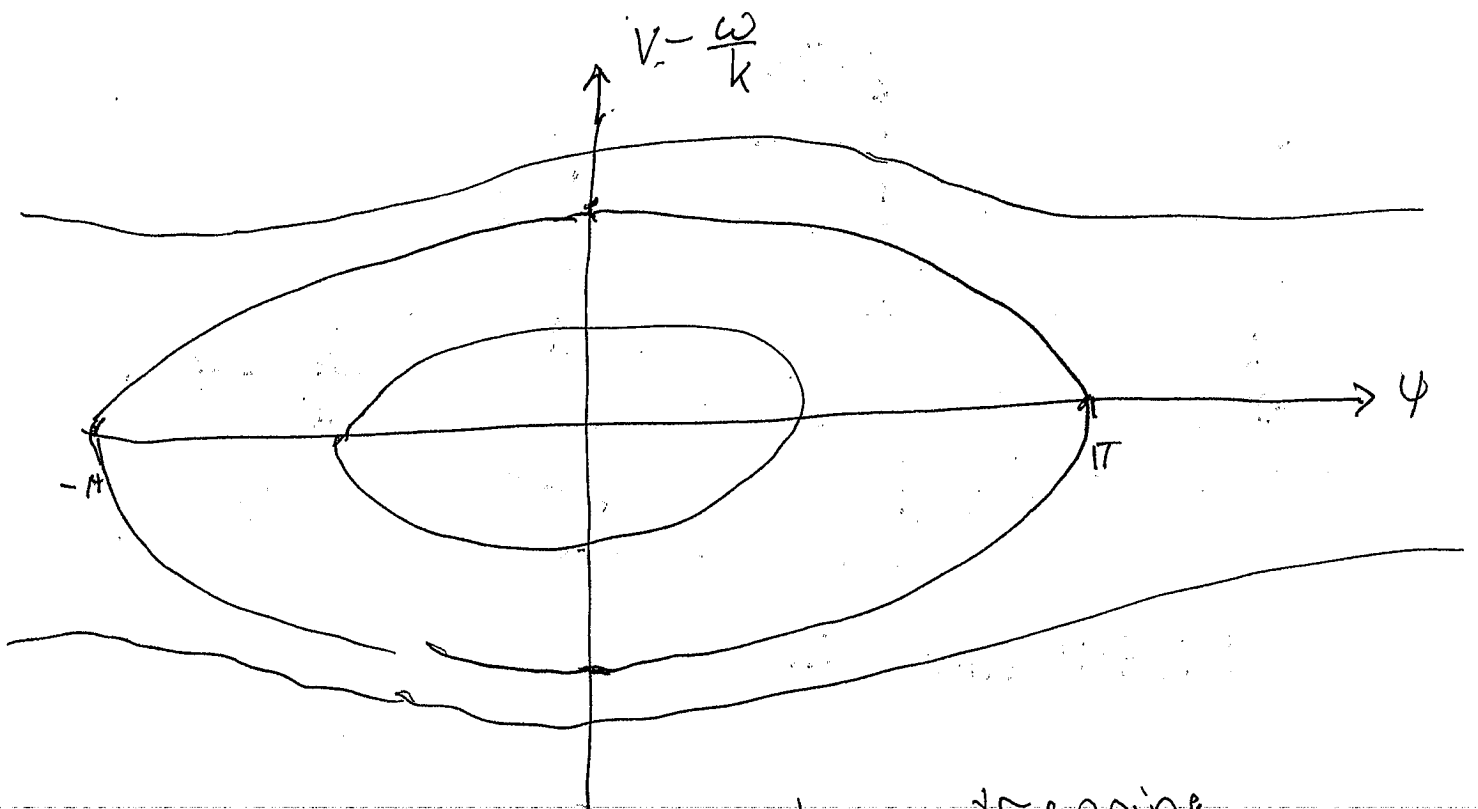
$$\therefore \frac{\dot{\psi}^2}{2} - \omega_b^2 \cos \psi = \mathcal{E} = \text{constant}$$

$$\dot{\psi}^2 = 2(\mathcal{E} + \omega_b^2 \cos \psi) > 0$$

Note that if  $-\omega_b^2 < \mathcal{E} < \omega_b^2$

Particle is trapped in  $\psi$

$$\psi_{\max} = \cos^{-1}\left(-\frac{\mathcal{E}}{\omega_b^2}\right)$$



Distribution within trapping

region mixes

Distribution outside trapping  
just distorts without

regions  
mixing.

We can estimate saturation level of a single mode by comparing the momentum lost from mixing, compared with momentum of wave that is excited

difference

Momentum  $x$  in mixed region  
 before mixing compared with  
 after mixing

$$\psi = kv - \omega t \left[ \omega_b^2 \cos \psi - \epsilon \right]^{1/2}$$

$$\sqrt{2} \omega_b^2 (1 + \cos \psi)^{1/2} / k + \omega / k = \text{upper}$$

$$\psi = kx - \omega t$$

$$m \int dx \int dv (f_0(v) - f_0(\frac{\omega}{k})) v$$

$$- \sqrt{2} \omega_b^2 (1 + \cos \psi) / k + \omega / k = \text{lower}$$

$$= \frac{m}{k} \int_{-\pi}^{\pi} d\psi \int_{\text{lower}}^{\text{upper}} d(v - \frac{\omega}{k}) \left[ f_0(\frac{\omega}{k}) + (v - \frac{\omega}{k}) f_0'(\frac{\omega}{k}) - f_0(\frac{\omega}{k}) \right] \left[ v - \frac{\omega}{k} \right]$$

↓  
only term to persist

↑  
integrates to zero

$$= \frac{m}{k^4} \int_0^{\pi} \frac{2}{3} \left[ \sqrt{2\omega_b^2 (1 + \cos \psi)} \right]^3 d\psi \frac{\partial f(\frac{\omega}{k})}{\partial v}$$

$$= \frac{2\sqrt{2}}{3k^4} \int_0^{\pi} d\psi (1 + \cos \psi)^{3/2} \omega_b^3 m \frac{\partial f(\frac{\omega}{k})}{\partial v} \quad \left( 1 + \cos \psi = 2 \cos^2 \frac{\psi}{2} \right)$$

$$= \frac{2\sqrt{2}}{3k^4} m \int_0^{\pi} d\psi \cos^3 \frac{\psi}{2} \omega_b^3 = \frac{2\sqrt{2}}{3k^4} m \omega_b^3 \frac{\partial f(\frac{\omega}{k})}{\partial v} \int_0^{\pi/2} d\theta \cos^3 \theta$$

$$= \frac{128}{9} \frac{m \omega_b^3}{k^4} \frac{\partial f(\frac{\omega}{k})}{\partial v} = \frac{128}{36\pi^2} \frac{m^2}{e^2} \frac{\partial \epsilon_R}{\partial \omega} \omega_b^3$$

$$= \text{WM} = \frac{k^2 E_d^2}{8\pi} \frac{\partial \epsilon_R}{\partial \omega} \frac{2\pi}{k_0} = \frac{m^2}{e^2} \frac{1}{8} \omega_b^4 \frac{\partial \epsilon_R}{\partial \omega}$$

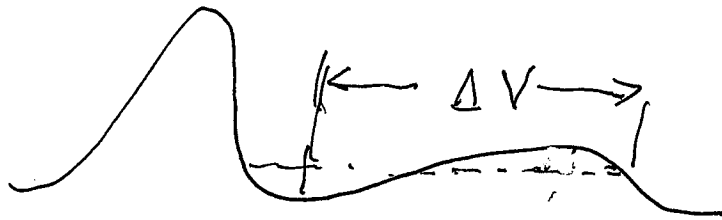
Therefore  $\omega_b = \frac{256}{9\pi^2} \gamma_L \approx 2.9 \gamma_L$

There is a major difference from momentum released by "non-overlapped" modes and "overlapped" modes

From our estimate of momentum released from single modes (non-overlapped)

$$WM_{SM's} \approx m \frac{\partial f}{\partial V} \left(\frac{\omega_b}{k}\right)^3 N < m \frac{\partial f}{\partial V} \left(\frac{\Delta V}{N}\right)^3 N$$

$N \equiv \#$  of modes



$$WM_{QL} \approx m \frac{\partial f}{\partial V} (\Delta V)^3$$

$$\frac{WM_{SM's}}{WM_{QL}} < \frac{1}{N^2} \approx \left(\frac{\gamma_L}{k\Delta V}\right)^2 \quad \left( N \equiv \# \text{ of Modes filling unstable space} \right)$$

This disparity actually infers that explosive behavior should occur in a system whose sources produce ~~instabilities~~ linearly unstable distribution functions, and where additional damping mechanisms, such as due to collisions, exist.

In quasi-linear <sup>theory</sup> the wave energy that is present after a flattened distribution arises, damps away. The sources then slowly build up the unstable ~~distribution~~ function. However, initially, when only a finite # of modes can be excited, quasi-linear theory not applicable since mode overlap doesn't occur. ~~However,~~ Modes saturate at single mode limits. However, when overlap is triggered, modes may suddenly grow, which causes dump of accumulated "free" energy.



We also note that a universal way of writing quasi-linear equation is

$$\frac{\partial f}{\partial t} - \frac{\partial}{\partial v} \sum_k \pi \delta(\omega - kv) \left| \frac{e E_k}{m} \right|^2 \frac{\partial f}{\partial v} = 0$$

$\pi \delta(\omega - kv)$  came from  $\frac{\gamma_L}{(\omega_k - kv) + \gamma_L^2}$   
 $= \pi \mathcal{Q}(\Omega - \omega_k)$

where  $\int d\Omega \mathcal{Q}(\Omega - \omega_k) = 1$

with  $kv = \Omega$        $\frac{ze k E_k}{m} = \omega_b^2$   
 $kv = \Omega$

$$\frac{\partial f}{\partial t} - \frac{\partial}{\partial v} \sum_j \pi \mathcal{Q}(\Omega - \omega_j) \left| \frac{\omega_j^2}{\Omega} \right|^2 \frac{\partial f}{\partial \Omega}$$

This is the uniform form that is applicable to most physical systems, when appropriate wave trapping frequency  $\omega_b^2$  is defined.

We will see this shortly (next lecture) in drift wave problems