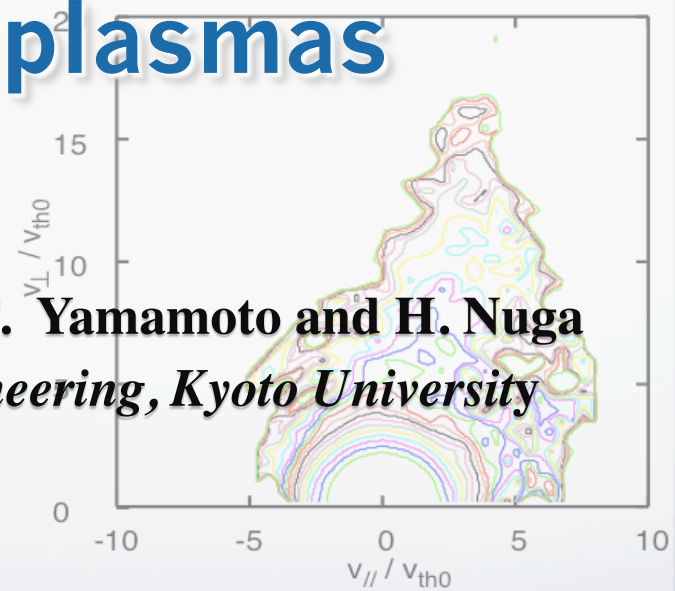


Integrated simulation of ICRF heating in toroidal plasmas



Velocity distribution $\rho=0.0-0.10$

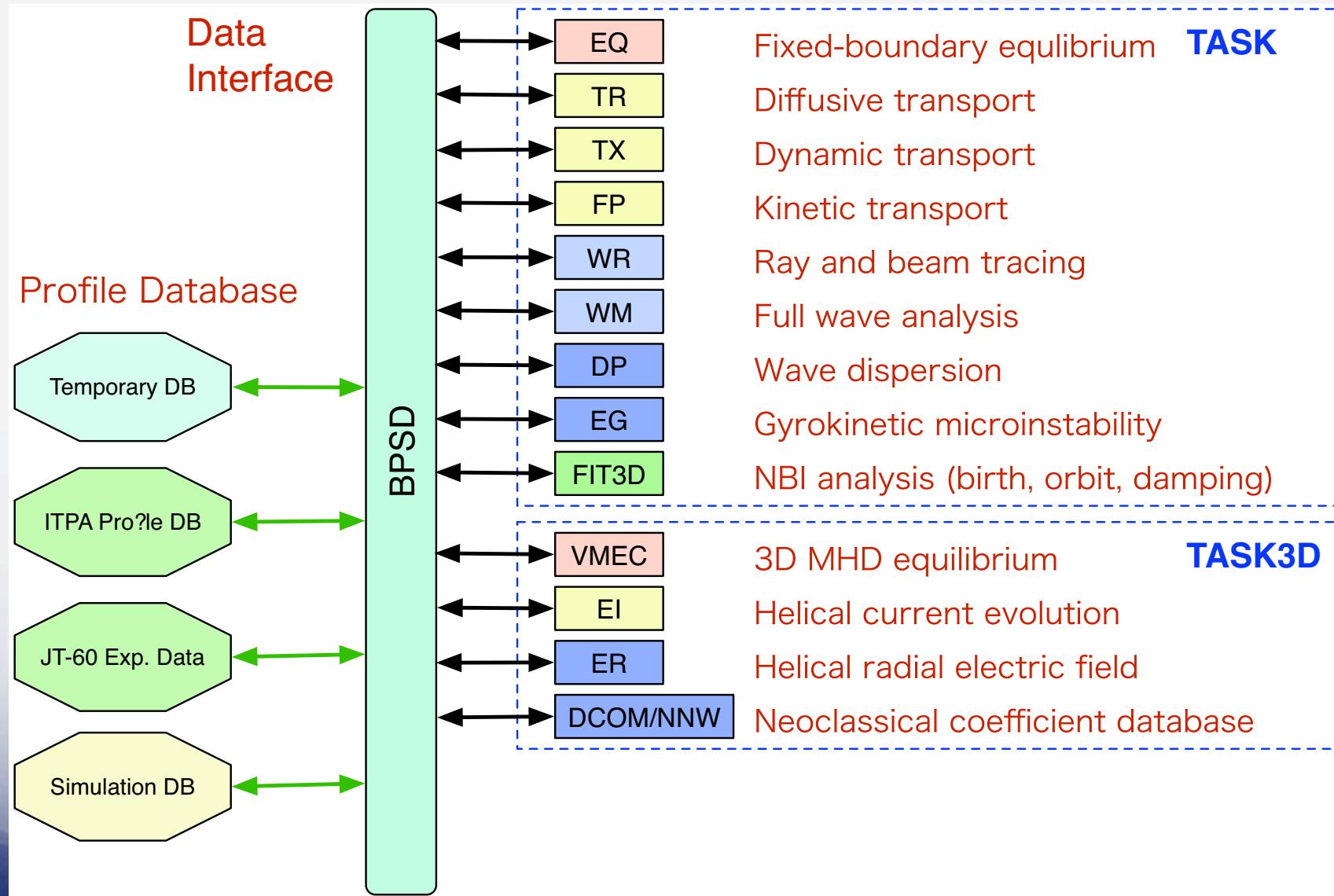


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Department of Nuclear Engineering, Kyoto University

Issues on ICRF Heating

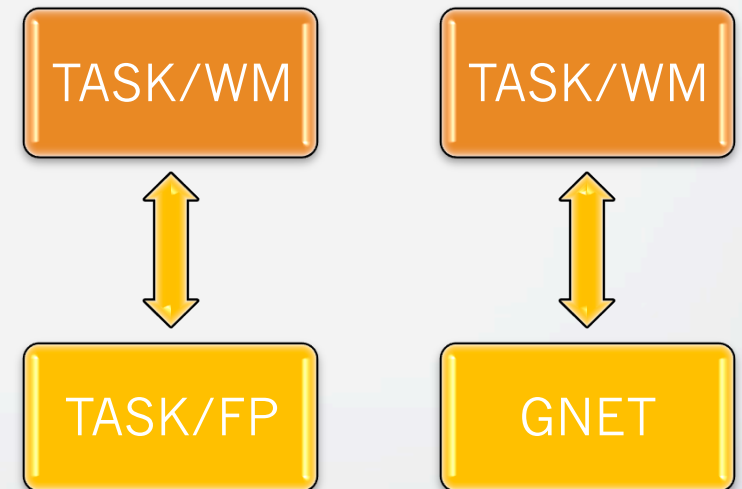
- **Self-consistent and accurate modeling** of wave-plasma interactions is one of the key issues in producing and sustaining **burning plasmas**.
- In ICRF heating study **different time scale processes** should be taking into account.
 - **RF wave propagation and wave-particle interaction ($\tau \sim 2\pi/\omega_{ci}$)**
 - **Energetic ion drift motion ($\tau \sim L/v_{fast}$)**
 - **Slowing down of energetic ion ($\tau \sim \tau_s$).**
- Therefore the **integrated modeling** is necessary for the ICRF heating simulation.
- We are upgrading wave-related components of **the integrated modeling code TASK** in order to describe the absorption of ICRF waves by energetic ions.

Integrated Modeling Code : TASK



ICRF Modeling in the TASK Code

- The full wave component **TASK/WM** was coupled with the Fokker-Planck component **TASK/FP** and the drift kinetic solver **GNET code**.
- **TASK/FP** the modification of the multi-species momentum distribution functions.
- **GNET:** the power deposition profile including the finite orbit size effects.
- At present there is no feed back from GNET to TASK/WM.

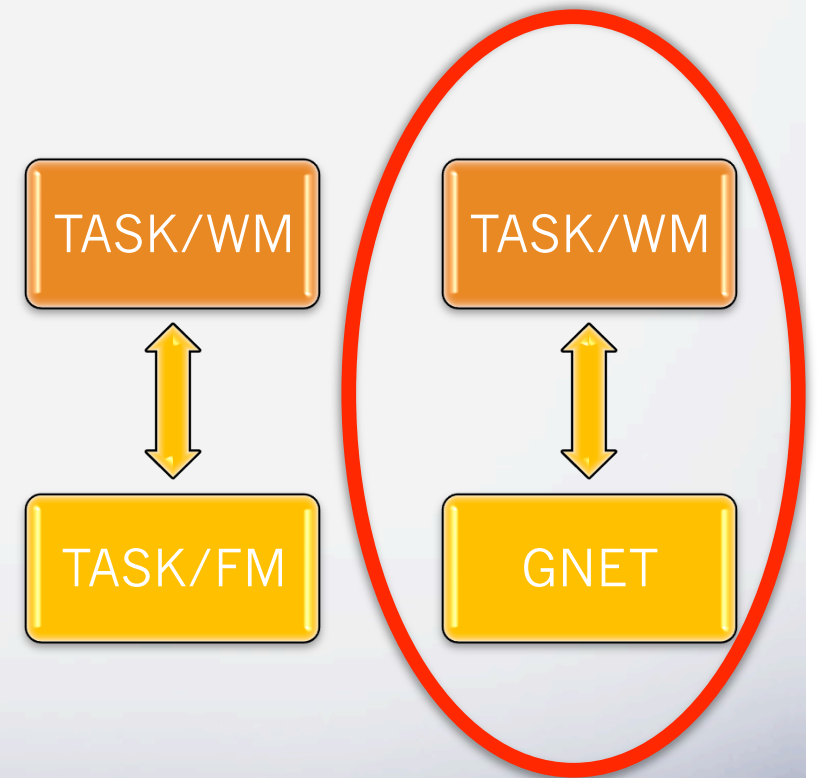


Differences between TASK/FP and GNET

	TASK/FP	GNET
Calculation method	Kinetic fluid	Monte Carlo
Dimension	3	5
Bounce average	Yes	No
Plasma-wave	QL diffusion	MC kick
Collision	Nonlinear	Linear
Collision	Relativistic	Non-relativistic
Orbit width	Zero	Finite
Toroidal inhomogeneity	No	Yes

ICRF Modeling in the TASK Code

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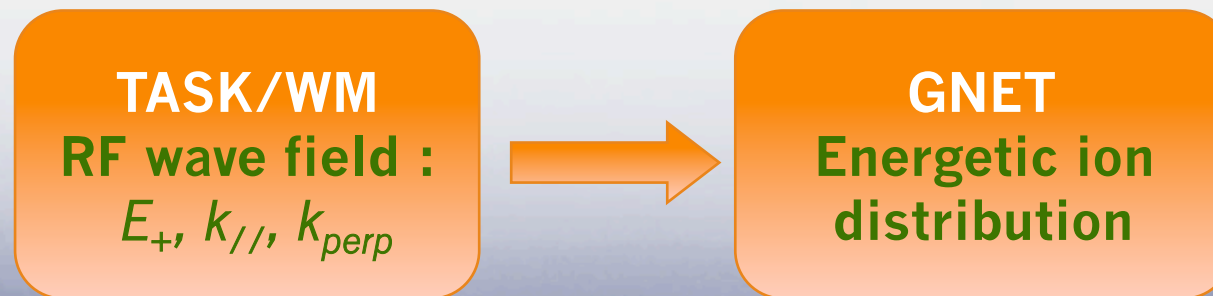
Simulation of ICRF Heating by GNET

- In order to study the ICRF heating in a 3D magnetic configuration we combine two global simulation codes; a drift kinetic equation solver **GNET** and a wave field solver **TASK/WM**.

GNET: a linearized drift kinetic equation in 5-D phase space

TASK/WM: Maxwell's equation for RF wave electric field

- We apply the simulation code to a simple circular cross section tokamak as a first step.
- We make clear the **characteristics of energetic ions distribution** in the phase-space.



TASK/WM: Full Wave Field Solver

- **Magnetic surface coordinate:** (ψ, θ, φ)
- Boundary value problem of **Maxwell's equation**
$$\nabla \times \nabla \times \mathbf{E} = \frac{\omega^2}{c^2} \overleftrightarrow{\epsilon} \cdot \mathbf{E} + i \omega \mu_0 \mathbf{j}_{\text{ext}}$$
- Kinetic **dielectric tensor** : $\overleftrightarrow{\epsilon}$
 - Wave-particle interaction : $Z[(\omega - n\omega_c)/k_{\parallel}v_{\text{th}}]$
 - Finite Larmor radius effect : reductive method
- Toroidal and poloidal direction **mode expansion**
- Radial direction : finite element method

TASK/WM: Formulation and Boundary Conditions

Wave electric field: $E(\psi_l, \theta, \varphi) = \sum_{mn} E_{mn}(\psi_l) e^{i(m\theta+n\varphi)}$

Metric tensor: $g_{ij}(\psi_l, \theta, \varphi) = \sum_{m'n'} (g_{ij})_{m'n'}(\psi_l) e^{i(m'\theta+n'N_h\varphi)}$

Antenna current: $J_{\text{ext}}^{\psi} = \sum_{mn} (j^{\psi})_{mn} e^{i(m\theta+n\varphi)} \Theta(\psi - \psi_{\text{ant}})$

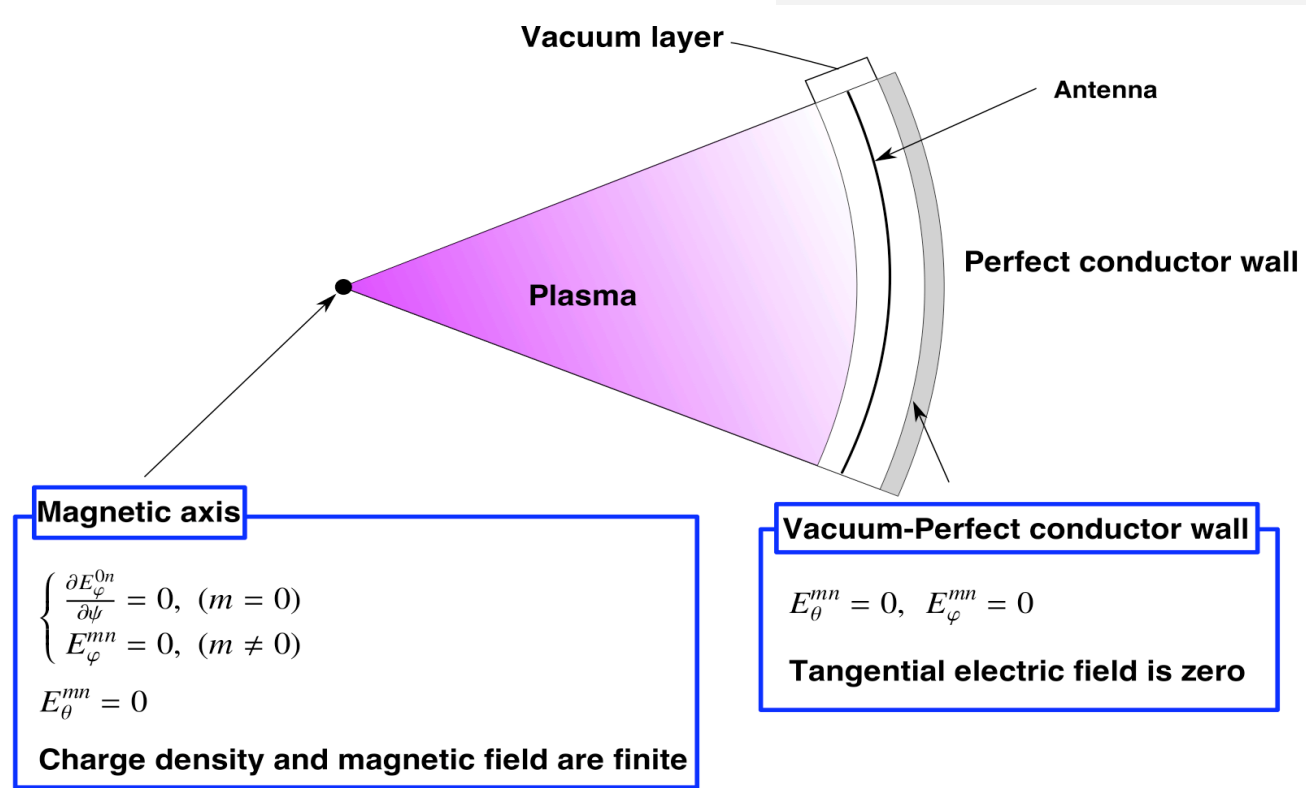
$J_{\text{ext}}^{\theta, \varphi} = \sum_{mn} (j^{\theta, \varphi})_{mn} e^{i(m\theta+n\varphi)} \delta(\psi - \psi_{\text{ant}})$

N_h : pitch number of the helical coil

ψ_{ant} : radial antenna position

Θ : step function

δ : delta function



ICH Simulation Model by GNET

- We solve **the drift kinetic equation** as a (time-dependent) initial value problem in 5D phase space based on **the Monte Carlo technique**.

$$\frac{\partial f_{min}}{\partial t} + (\mathbf{v}_{\parallel} + \mathbf{v}_D) \cdot \nabla f_{min} + \mathbf{a} \cdot \nabla_{\mathbf{v}} f_{min} - C(f_{min}) - Q_{ICRF}(f_{min}) - L_{particle} = S_{particle}$$

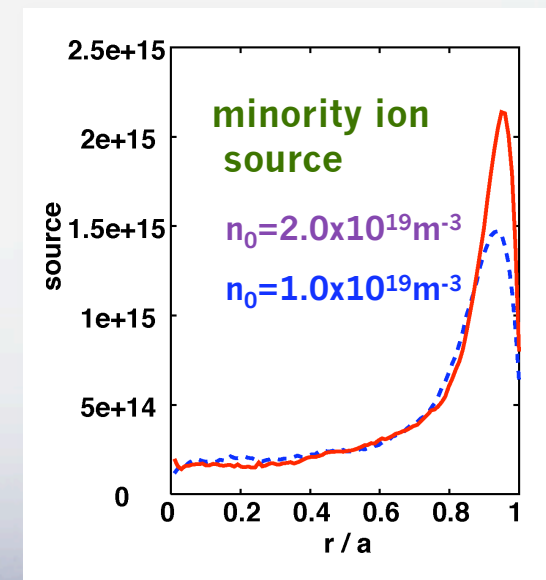
$C(f)$: linear Clulomb Collision Operator

Q_{ICRF} : ICRF heating term
wave-particle interaction model

$S_{particle}$: particle source
=> by ionization of neutral particle
(AURORA code)

$L_{particle}$: particle sink (loss)
=> Charge exchange loss
=> Orbit loss (outermost flux surface)

- The minority ion distribution f is evaluated through **a convolution of $S_{particle}$** with a characteristic time dependent **Green function**.



Time Dependent Green Function \mathcal{G}

- It is convenient to introduce **the Green function $\mathcal{G}(\mathbf{x}, \mathbf{v}, t | \mathbf{x}', \mathbf{v}')$** which is defined by **the homogeneous F-P equation**

$$\frac{\partial \mathcal{G}}{\partial t} + (\mathbf{v}_{II} + \mathbf{v}_D) \cdot \nabla \mathcal{G} + \dot{\mathbf{v}} \cdot \nabla_{\mathbf{v}} \mathcal{G} - C(\mathcal{G}) - L(\mathcal{G}) - Q_{ICRF}(\mathcal{G}) = 0$$

with the initial condition $\mathcal{G}(\mathbf{x}, \mathbf{v}, t=0 | \mathbf{x}', \mathbf{v}') = \delta(\mathbf{x} - \mathbf{x}') \delta(\mathbf{v} - \mathbf{v}')$

- Then, **the solution of the inhomogeneous problem** is given by the **convolution with \mathcal{G}** ;

$$f_{\min}(\mathbf{x}, \mathbf{v}, t) = \int_0^t dt' \int d\mathbf{x}' \int d\mathbf{v}' S_{particle} \mathcal{G}(\mathbf{x}, \mathbf{v}, t - t' | \mathbf{x}', \mathbf{v}').$$

- In this approach, only **the Green function \mathcal{G}** has to be determined by the **Monte Carlo technique**.

Monte Carlo Simulation for \mathcal{G}

- **Complicated particle motion**

*Eq. of motion in the Boozer coordinates $(\psi, \theta, \phi, \rho)$
3D MHD equilibrium (VMEC+NEWBOZ)*

- **Coulomb collisions**

*Liner Monte Carlo collision operator [Boozer and Kuo-Petravic]
Energy and pitch angle scattering*

$$C_s(f) = \frac{1}{v^2} \frac{\partial}{\partial v} \left[v^2 \nu_E^s \left(v f + \frac{T_s}{m} \frac{\partial f}{\partial v} \right) \right] + \frac{\nu_d^s}{2} \frac{\partial}{\partial \lambda} (1 - \lambda^2) \frac{\partial f}{\partial \lambda}$$

ν_E^s : energy transfer rate

ν_d^s : deflection collision frequency by background of s particles

λ : pitch angle ($= v_{\perp} / v_{\parallel}$)

Monte Carlo Simulation for \mathcal{G}

- The Q_{ICRF} term is modeled by the Monte Carlo method.
- When the test particle pass through the resonance layer the perpendicular velocity of this particle is changed by the following amount

$$\Delta v_{\perp} = \sqrt{\left(v_{\perp 0} + \frac{q}{2m} I(E_+ (J_{n-1}(k_{\perp} \rho) \cos \phi_r) \right)^2 + \frac{q^2}{4m^2} \left\{ I(E_+ (J_{n-1}(k_{\perp} \rho)) \right\}^2 \sin^2 \phi_r - v_{\perp 0}^2} - v_{\perp 0}$$

$$\approx \frac{q}{2m} I(E_+ (J_{n-1}(k_{\perp} \rho) \cos \phi_r) + \frac{q^2}{8m^2 v_{\perp 0}} \left\{ I(E_+ (J_{n-1}(k_{\perp} \rho)) \right\}^2 \sin^2 \phi_r$$

$$I = \min(\sqrt{2\pi / n\dot{\omega}} , 2\pi(n\ddot{\omega} / 2)^{-1/3} Ai(0))$$

E_+ : RF electric field

ϕ_r : random phase

ρ : gyroradius

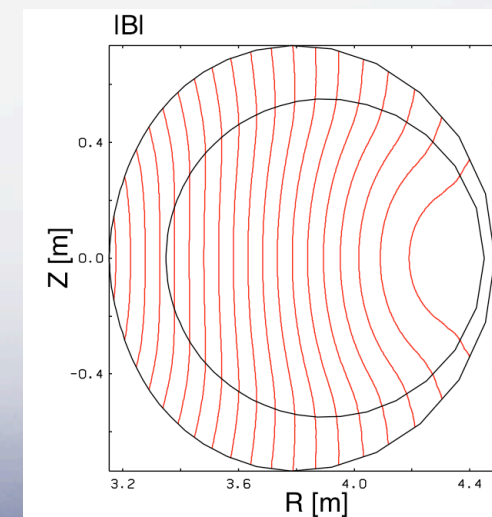
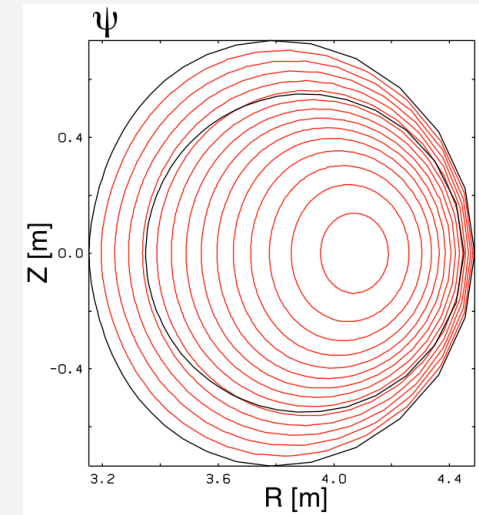
- Effect of multi $k_{//}$ modes is included by checking the resonance condition for all $k_{//j}$.

$$\omega = k_{//j} v_{//} - n\omega_c$$

Simulation results by TASK/WM

- Plasma parameters

Plasma major radius	R_0	3.6 m
Plasma minor radius	a	0.6 m
Magnetic field at magnetic axis	B_0	3.0 T
Temperature at magnetic axis	T_0	3.0 keV
Temperature on plasma boundary	T_s	0.3 keV
Density at magnetic axis	n_0	$1.0 \times 10^{20}/\text{m}^3$
Density on plasma boundary	n_s	$0.1 \times 10^{20}/\text{m}^3$
Antenna current density	j_{ext}	1.0 A/m
Wave frequency	f_{RF}	42, 45 MHz
Minority ion ratio	$\frac{n_{\text{H}}}{n_{\text{D}}+n_{\text{H}}}$	5 %
Collision frequency	ν_s/ω	0.003



TASK/WM: Full wave field solver

- **Maxwell's equations** for stationary wave electric field

$$\nabla \times \nabla \times \mathbf{E} = \frac{\omega^2}{c^2} \overset{\leftrightarrow}{\epsilon} \cdot \mathbf{E} + i\omega\mu_0 \mathbf{j}_{\text{ext}}$$

\mathbf{E} : electric field

c : light velocity

$\overset{\leftrightarrow}{\epsilon}$: dielectric tensor

μ_0 : magnetic permeability in vacuum

\mathbf{j}_{ext} : antenna current

ω : wave angular frequency

- **Various dielectric tensor model** are available in TASK.
In the present analysis, we assume **a simple collisional cold plasma model**.

$$\overset{\leftrightarrow}{\epsilon} = \begin{pmatrix} S & -iD & 0 \\ iD & S & 0 \\ 0 & 0 & P \end{pmatrix}$$

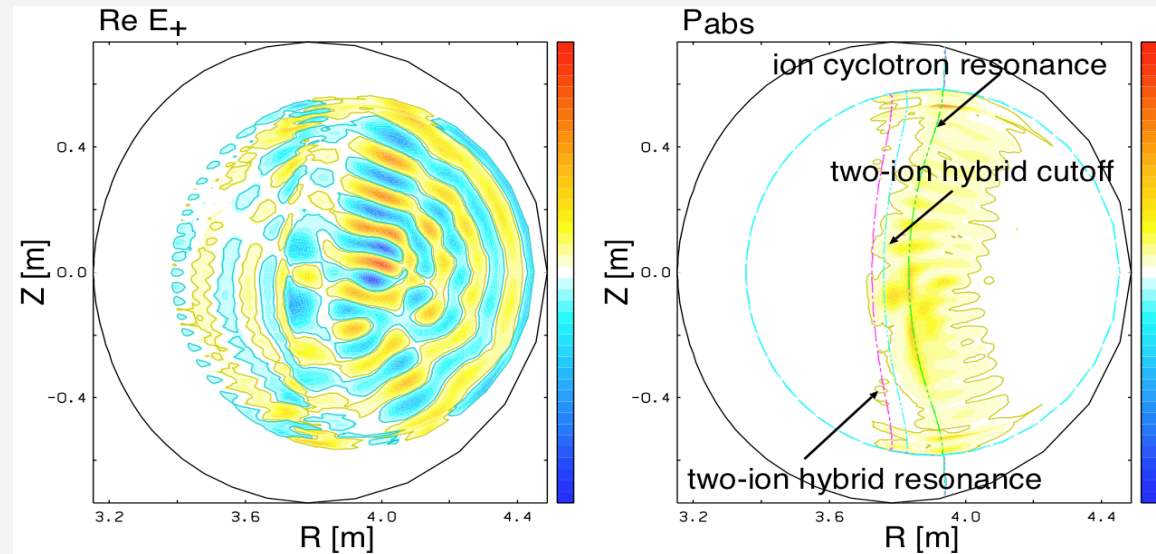
$$S = 1 - \sum_s \frac{\omega_{ps}^2}{\omega} \frac{\omega + iv_s}{(\omega + iv_s)^2 - \Omega_s^2}$$

$$D = \sum_s \frac{\omega_{ps}^2}{\omega} \frac{\Omega_s}{(\omega + iv_s)^2 - \Omega_s^2}$$

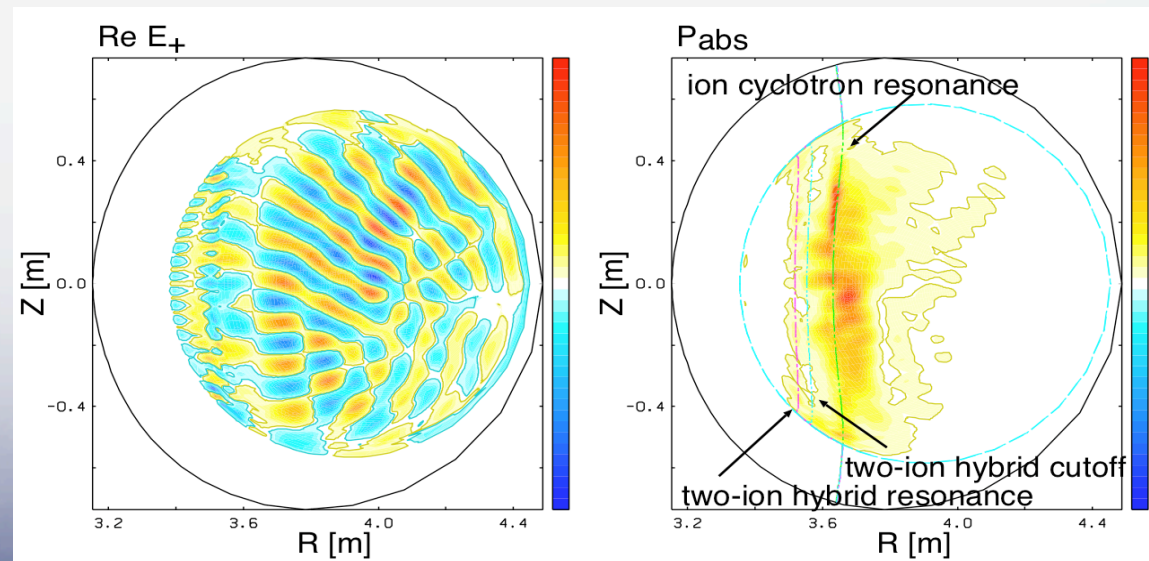
$$P = 1 - \sum_s \frac{\omega_{ps}^2}{\omega} \frac{1}{\omega + iv_s}$$

Full wave analysis by TASK/WM

- On axis heating (42MHz)



- Off axis heating (45MHz)



RF Electric Field for GNET

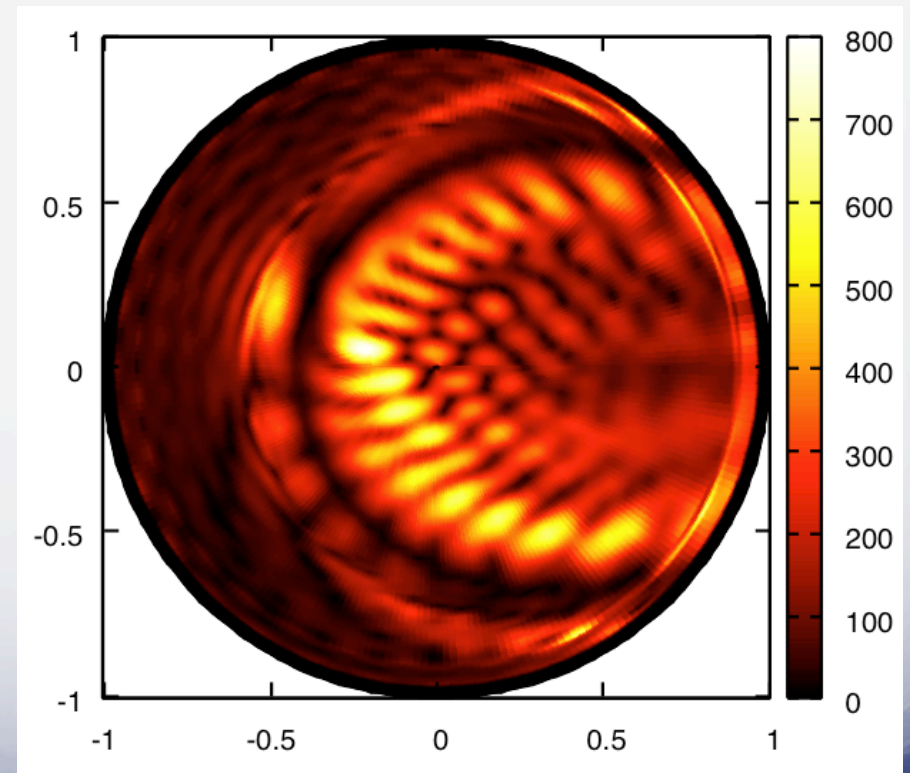
- Considering only **fundamental ion cyclotron resonance** and assuming $k_{\perp} \rho \ll 1$ is small, then

$$|E_+| J_{n-1}(k_{\perp} \rho) \approx |E_+|$$

- Also assuming $B_{\phi} \gg B_{\theta}$,

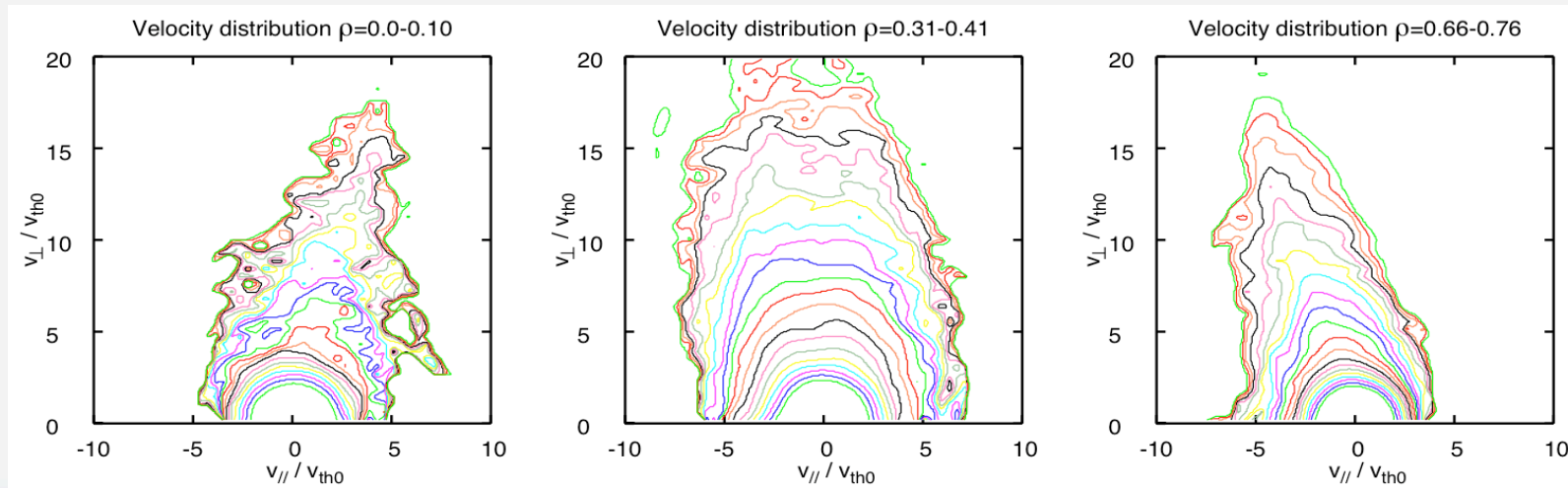
$$k_{\parallel} \approx \frac{n}{R}$$

$|E_+|$ in the Boozer coordinates

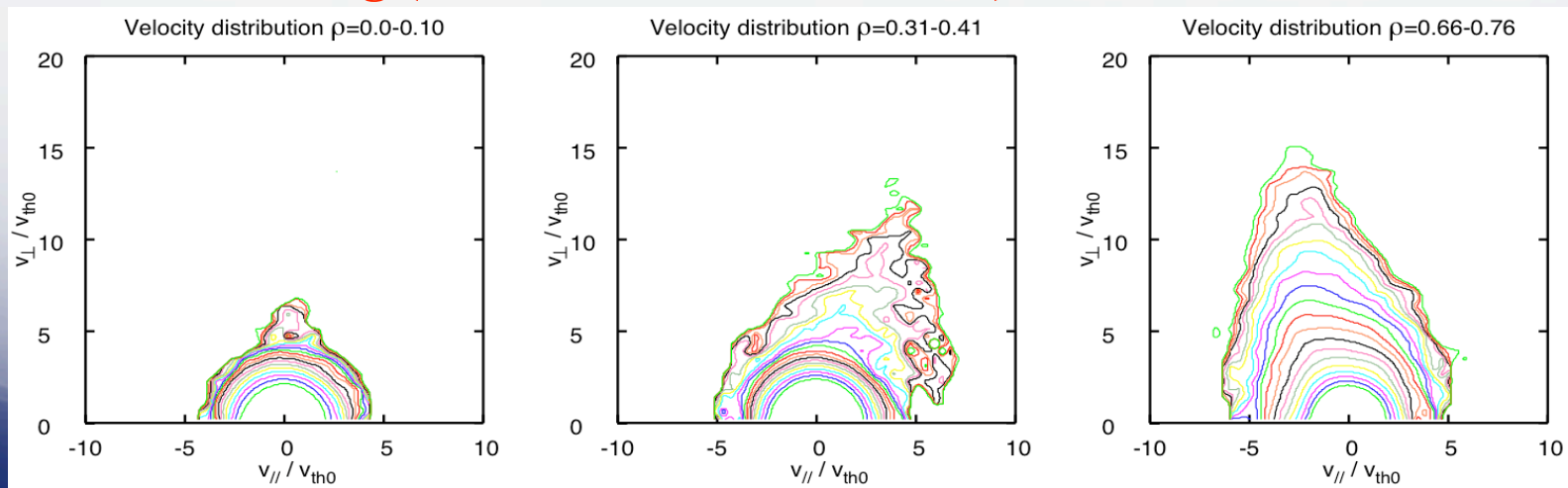


Energetic Ion Distribution (On/Off Axis Heating)

- **On axis heating ($f=42\text{MHz}$, $P_{\text{abs}}=5.17\text{MW}$)**



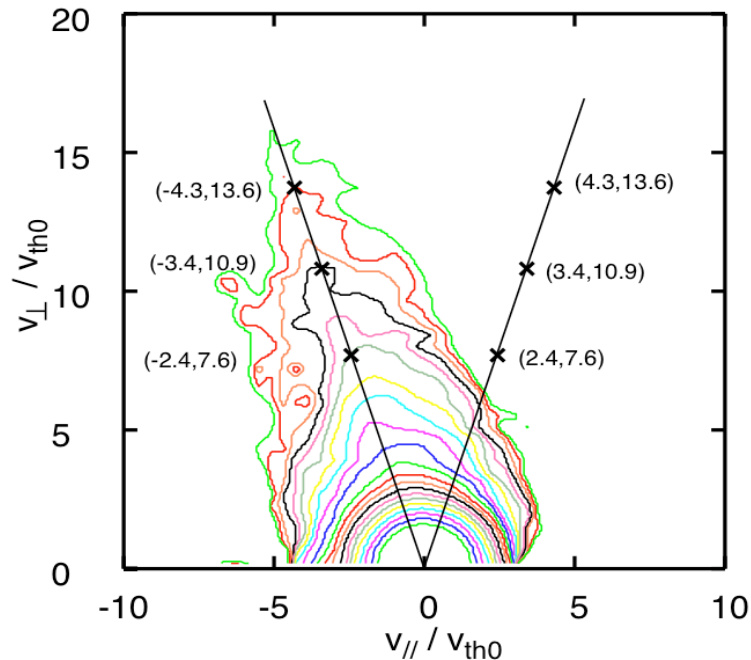
- **Off axis heating ($f=45\text{MHz}$, $P_{\text{abs}}=5.26\text{MW}$)**



Orbits of test particles

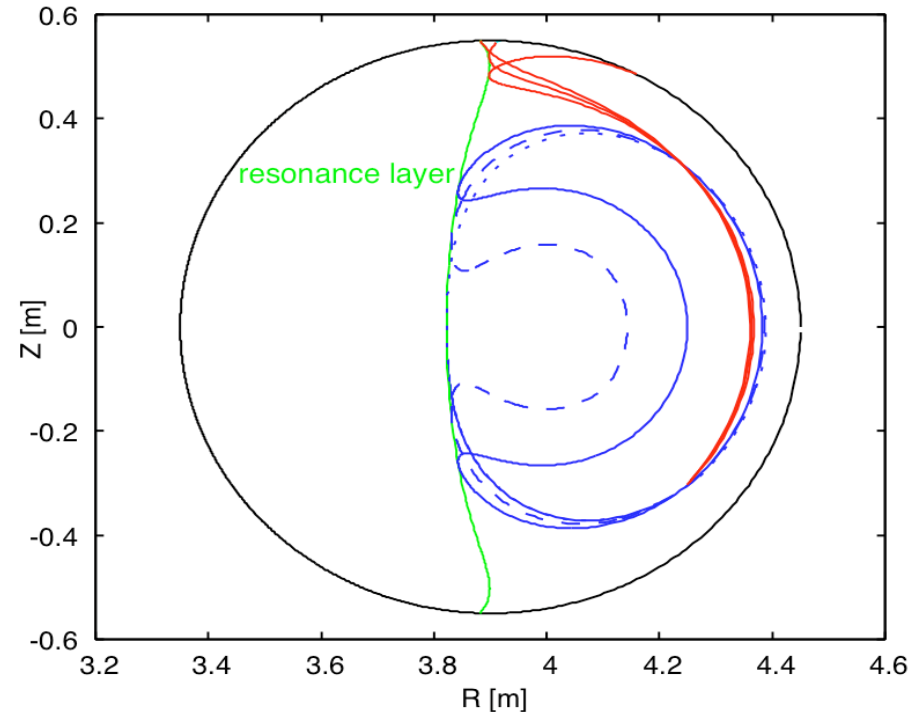
On axis heating (42MHz)

Velocity distribution $\rho=0.71$



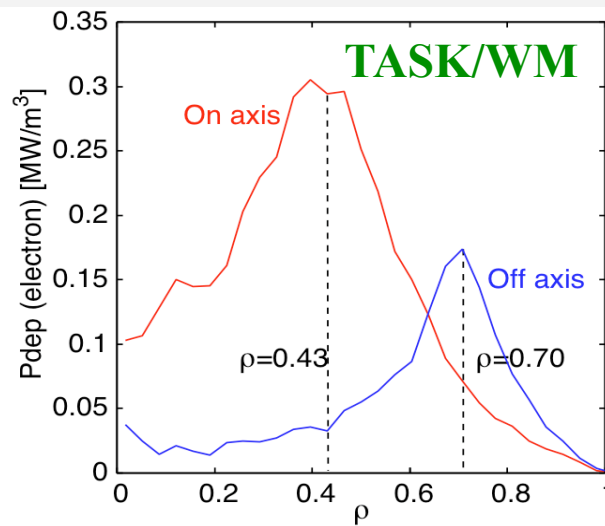
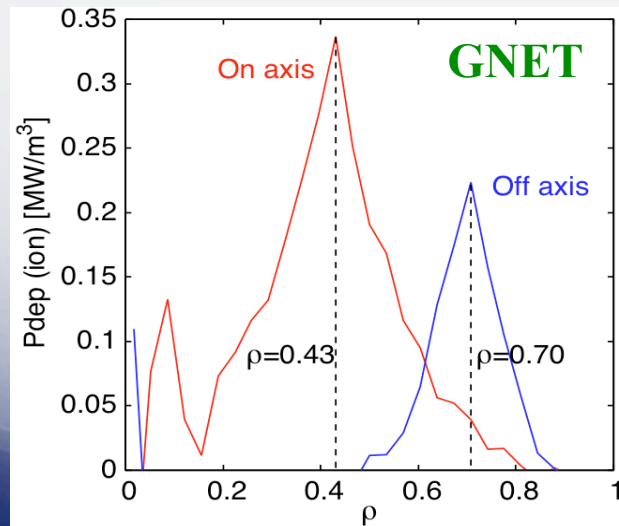
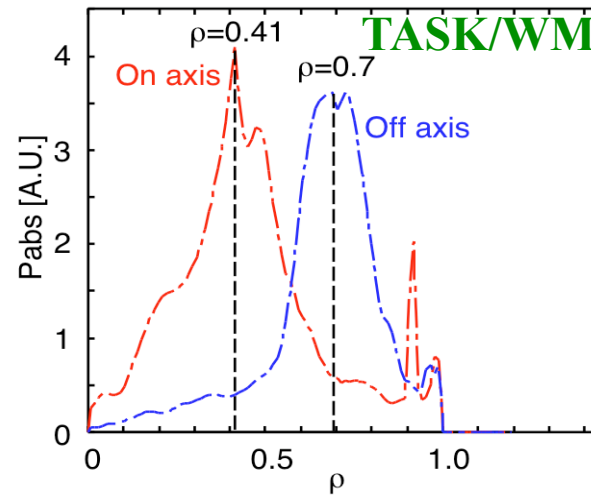
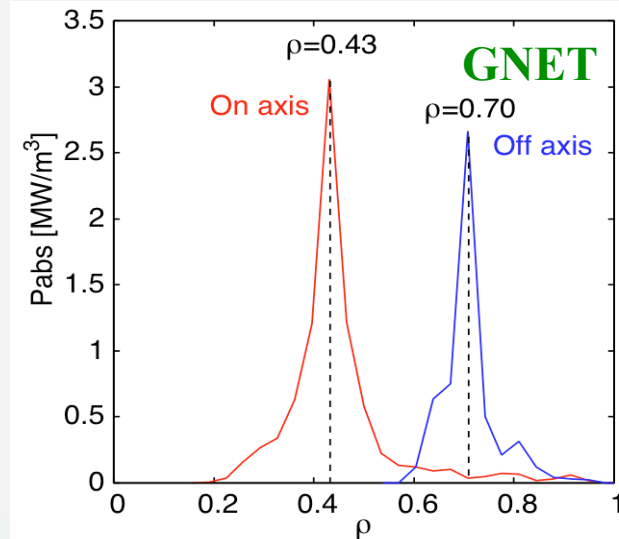
Orbits of test particles

(2.4,7.6) — (3.4,10.9) - - (4.3,13.6) - - -
(-2.4,7.6) — (-3.4,10.9) - - (-4.3,13.6) - - -



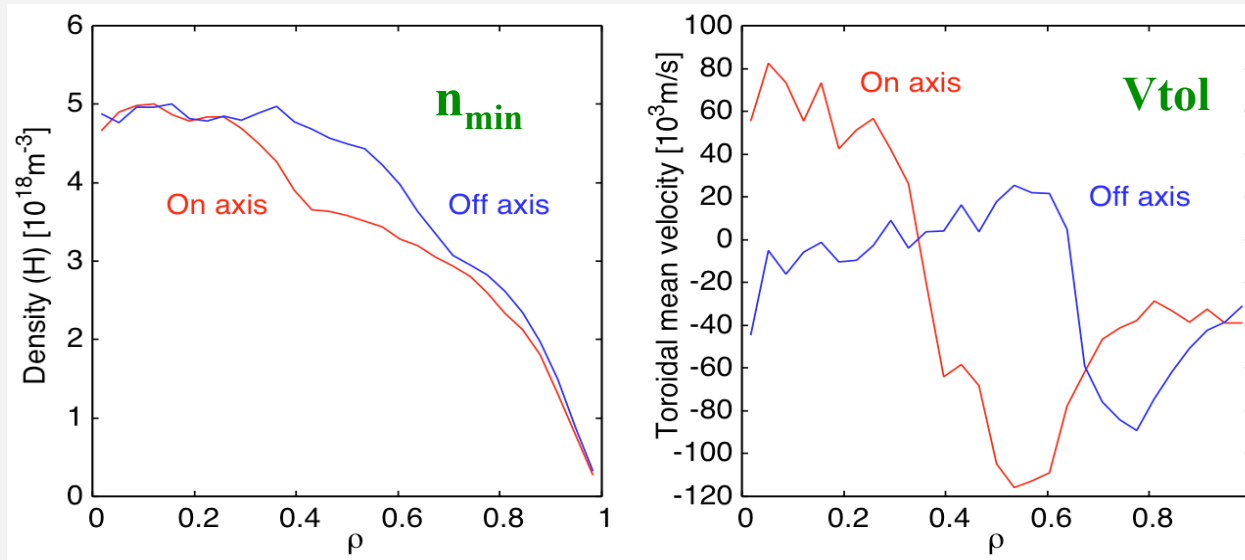
- The **asymmetry in the parallel direction** of the velocity distribution function indicates the **effects of finite orbit width** of trapped particle.

Comparisons of GNET and TASK/WM Results

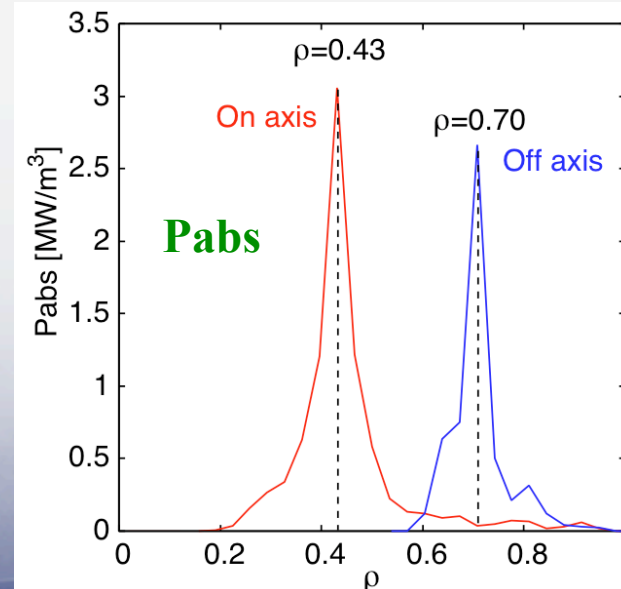


- **Radial positions of the Pabs and Pdep peaks show good agreements.**
- **More peaked profiles are obtained in the GNET results.**

Density and Toroidal mean velocity



- **Reduction of the minority ion densities can be seen near the peak position of Pabs.**
- **The toroidal shear flow is generated near the peak position of Pabs.**



Evaluation of Wave Vector k

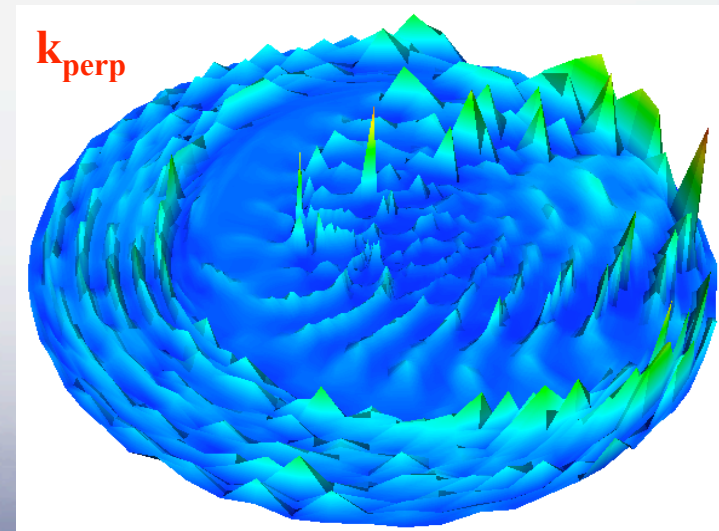
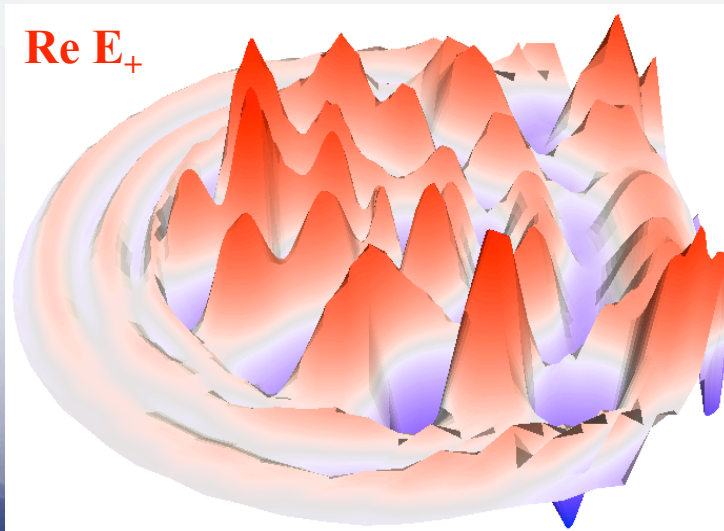
- **Local eikonal approximation**

$$E(\rho, \chi, \zeta) = \tilde{E} \exp(ik \cdot r)$$

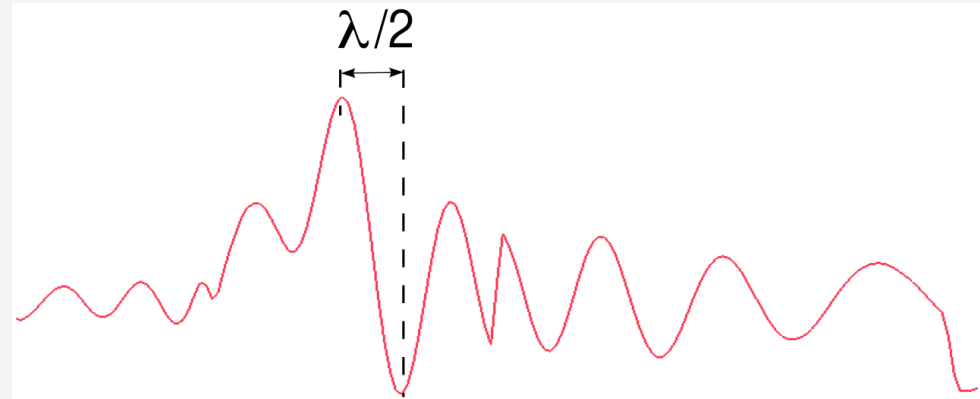
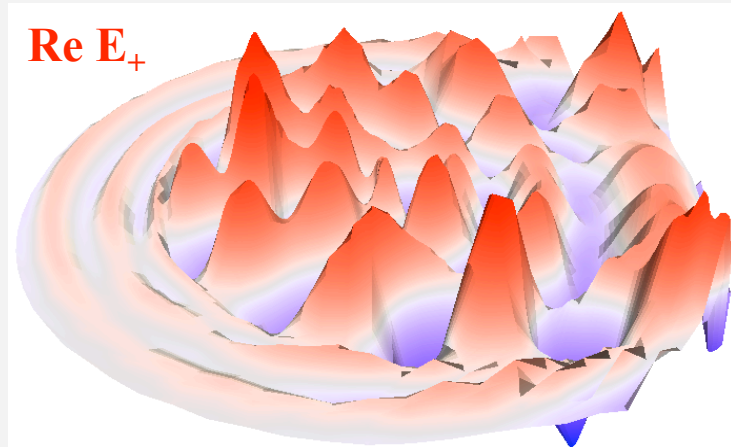
- **Wave vector**

$$k_j = -i \frac{\frac{\partial}{\partial u^j} E_i(u^1, u^2, u^3)}{E_i(u^1, u^2, u^3)}$$

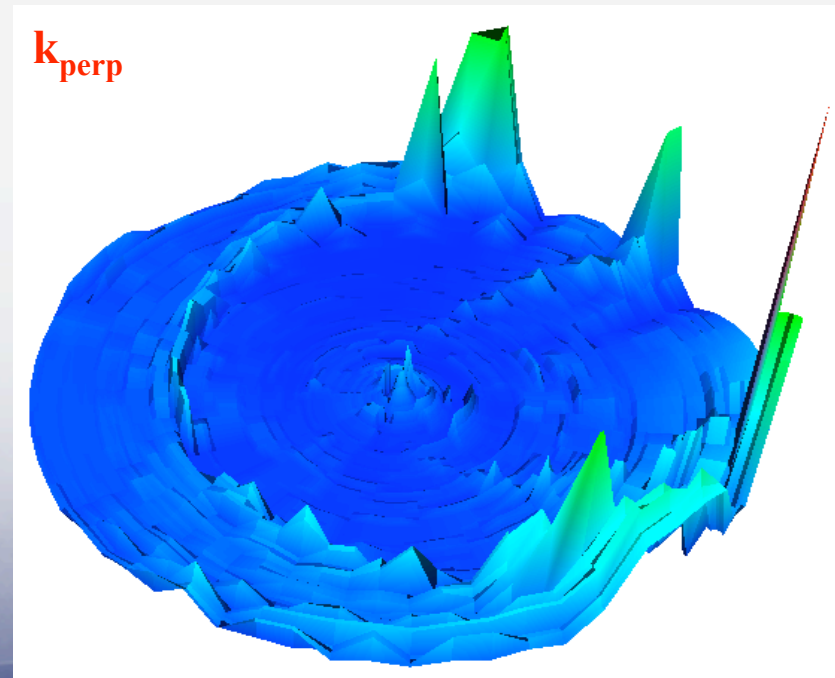
- **Stationary waves** have two local wave vector k and $-k$, therefore the eikonal approximation dose not work.



Evaluation of Wave Vector k

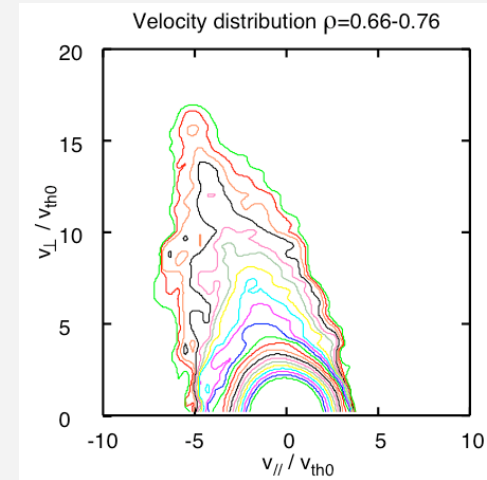
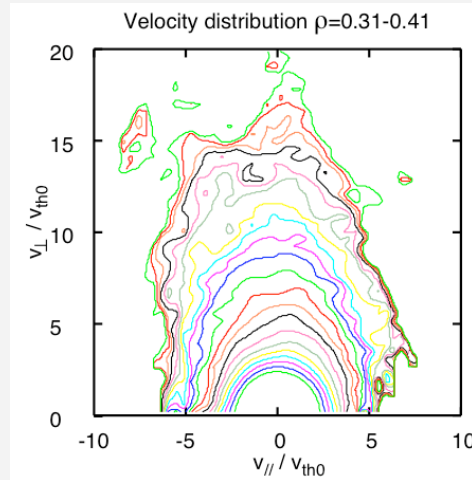
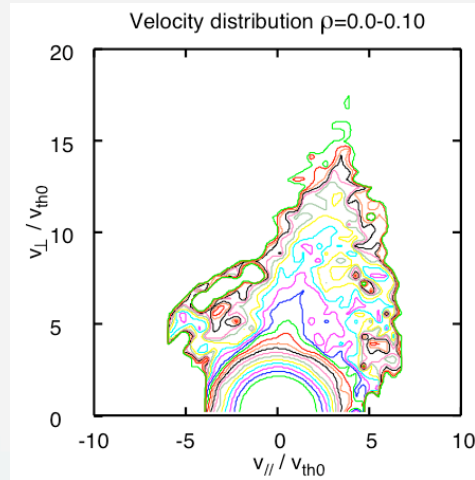


- We **directly evaluate the wave length** and estimate the wave number.
- The obtained results show **relatively good behavior** than that of the eikonal approximation case.

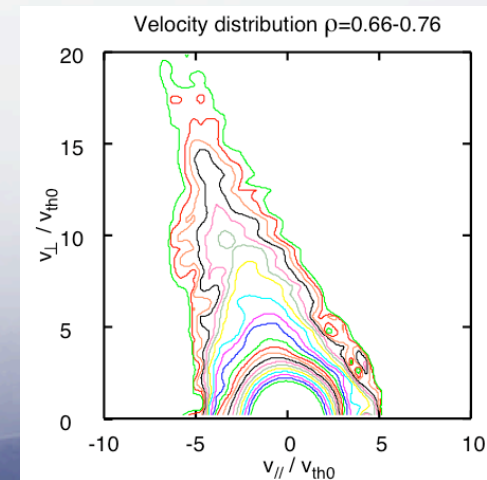
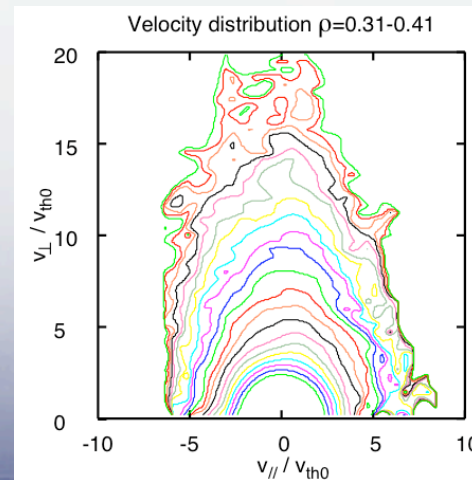
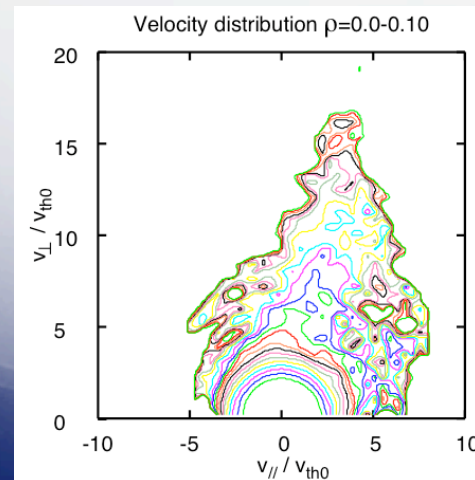


Effect of finite k_{perp}

- On axis (42MHz, $P_{\text{abs}}=4.08\text{MW}$, $\max|E_+|=4.5\text{kV/m}$)

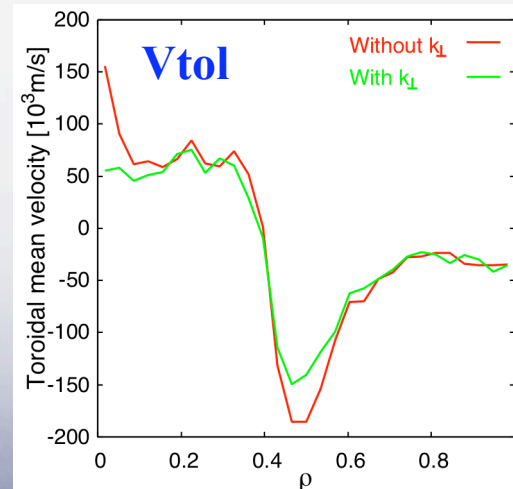
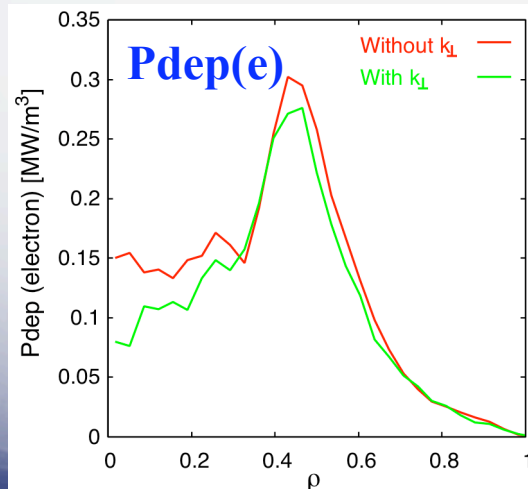
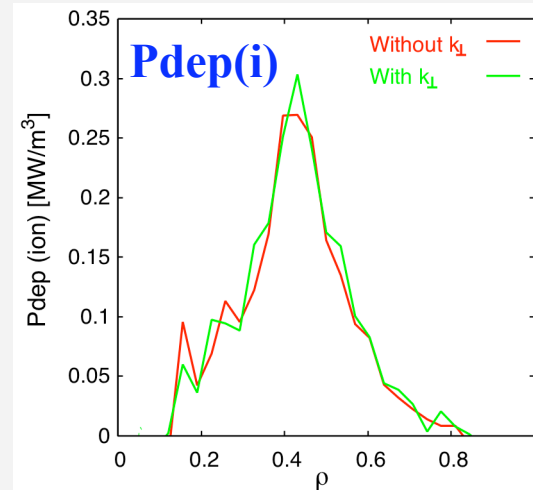
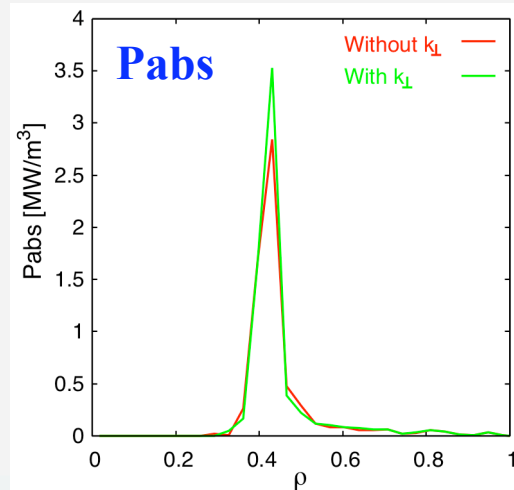


- On axis (42MHz, $P_{\text{abs}}=3.60\text{MW}$, $\max|E_+|=4.5\text{kV/m}$, $k_{\text{perp}} \sim 0$)



Effect of finite k_{\perp}

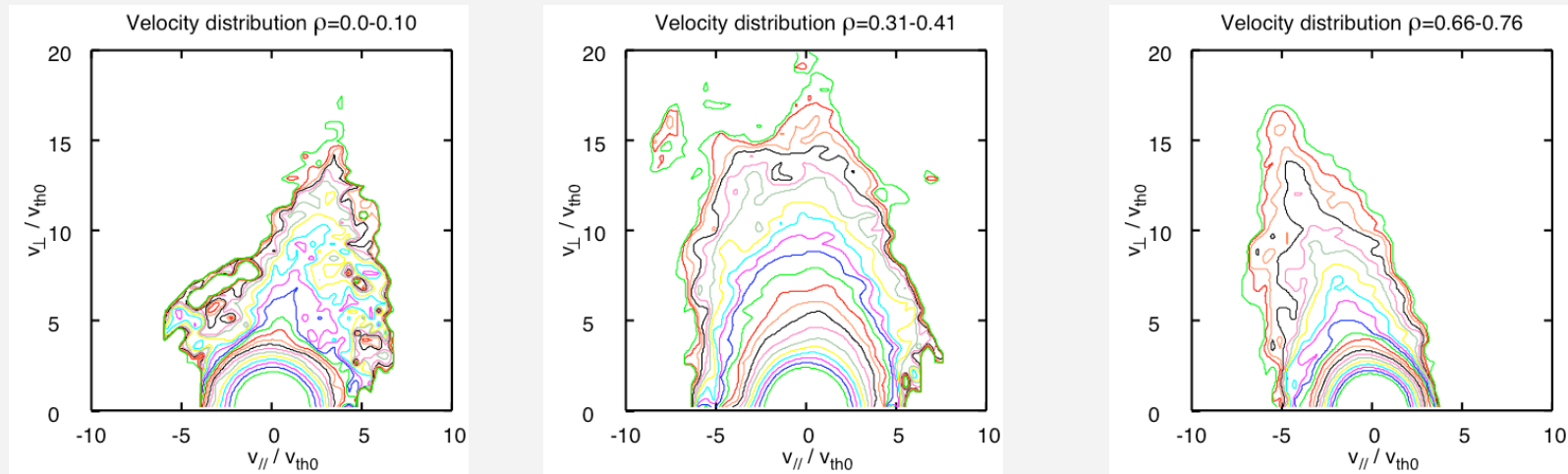
- On axis (42MHz, $\max|E_{+}|=4.5\text{kV/m}$)



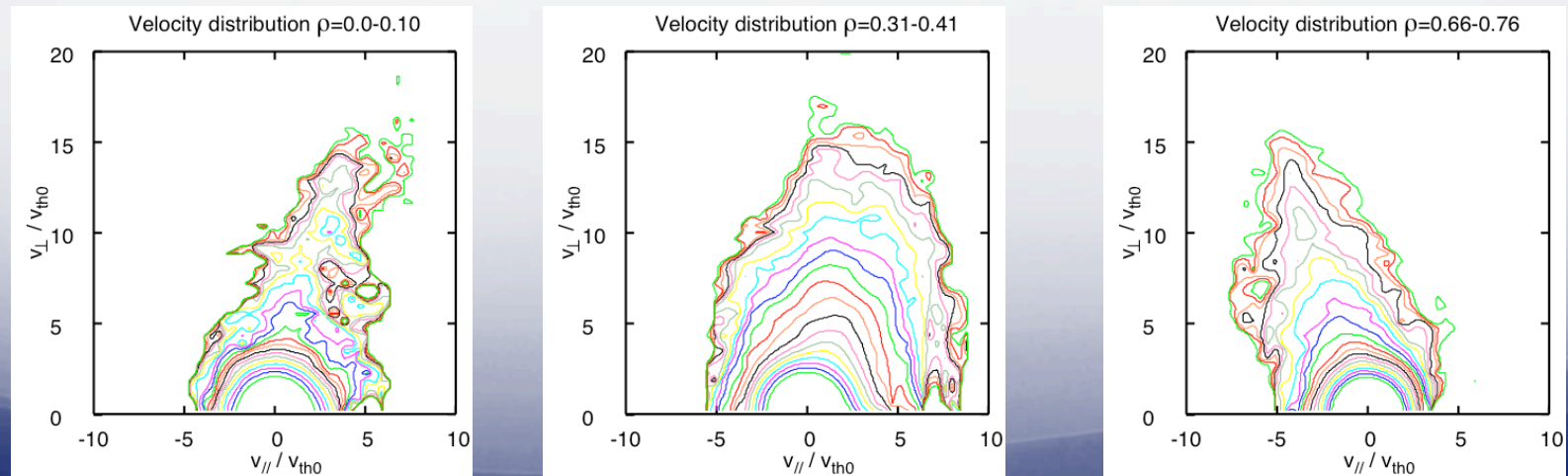
- No clear difference in the radial profile of P_{abs} , P_{dep} and V_{tol} of with and without the k_{\perp} modeling.**

Dependence of the k_{\parallel} Sign

- On axis (42MHz, $P_{abs}=4.13\text{MW}$, $\max|E_+|=4.5\text{kV/m}$, $k_{\parallel}=2.2\text{m}^{-1}$)

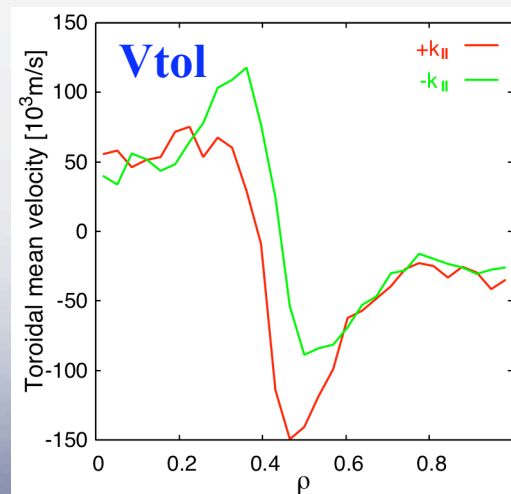
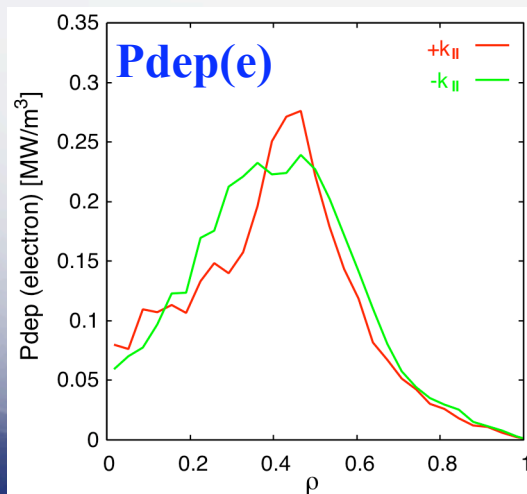
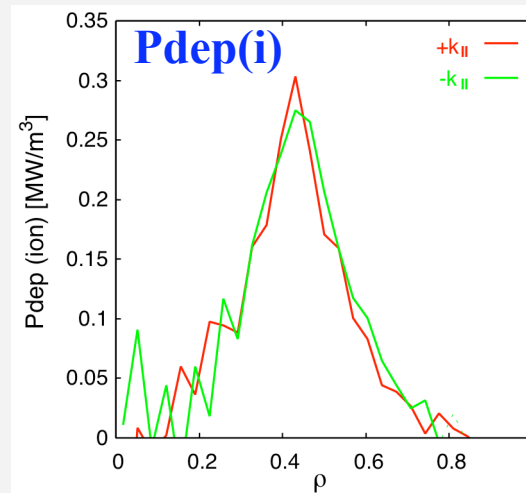
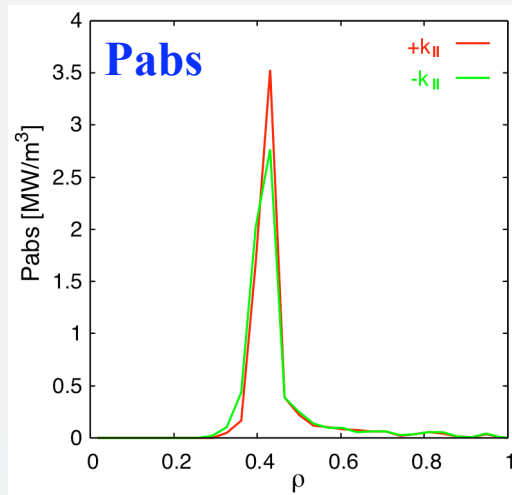


- On axis (42MHz, $P_{abs}=4.10\text{MW}$, $\max|E_+|=4.5\text{kV/m}$, $k_{\parallel}=-2.2\text{m}^{-1}$)



Dependence of the $k_{//}$ Sign

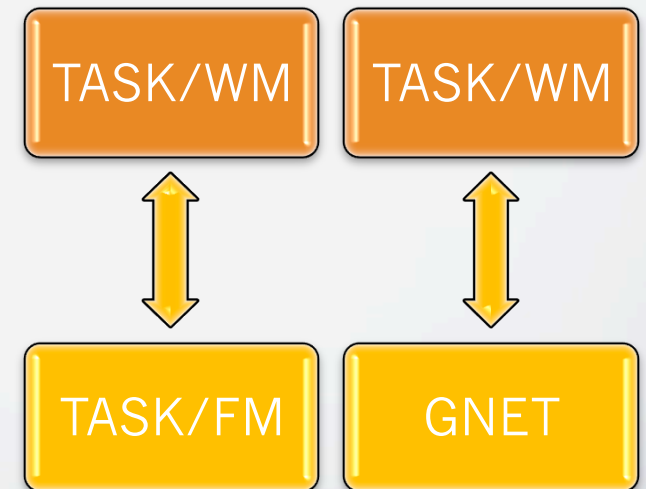
- On axis (42MHz, $\max|E_{+}|=3.0\text{kV/m}$, $|k_{//}|=2.2\text{m}^{-1}$)



- No clear difference in the P_{abs} and P_{dep} .
- We can see a clear difference in the toroidal mean flow generation.
- The more positive flow is enhanced in the case of the negative k .

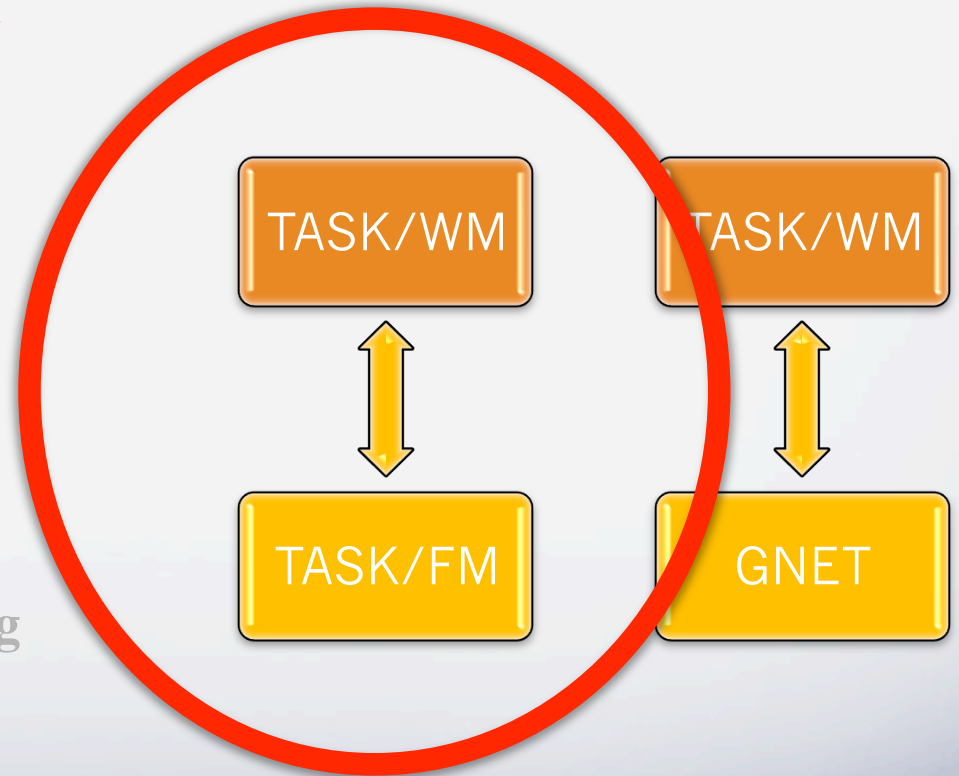
Summary

- **We have upgraded wave-related components of the integrated modeling code TASK in order to describe the absorption of ICRF waves by energetic ions.**
- **The full wave component was coupled with the Fokker-Planck component and the GNET code to describe the modification of the multi-species momentum distribution functions and to calculate the power deposition profile including the finite orbit size effects.**
- **These advanced modeling provides more accurate evaluation of the efficiency of wave heating and current drive in tokamaks and helical configurations.**



ICRF Modeling in the TASK Code

- The full wave component **TASK/WM** was coupled with the Fokker-Planck component **TASK/FP** and the drift kinetic solver **GNET code**.
- **TASK/FP** the modification of the multi-species momentum distribution functions.
- **GNET**: the power deposition profile including the finite orbit size effects.



Multi-Species Fokker-Planck Analysis

- **Fokker-Planck equation**

for **momentum distribution function** : $f_s(p_{\parallel}, p_{\perp}, \rho, t)$

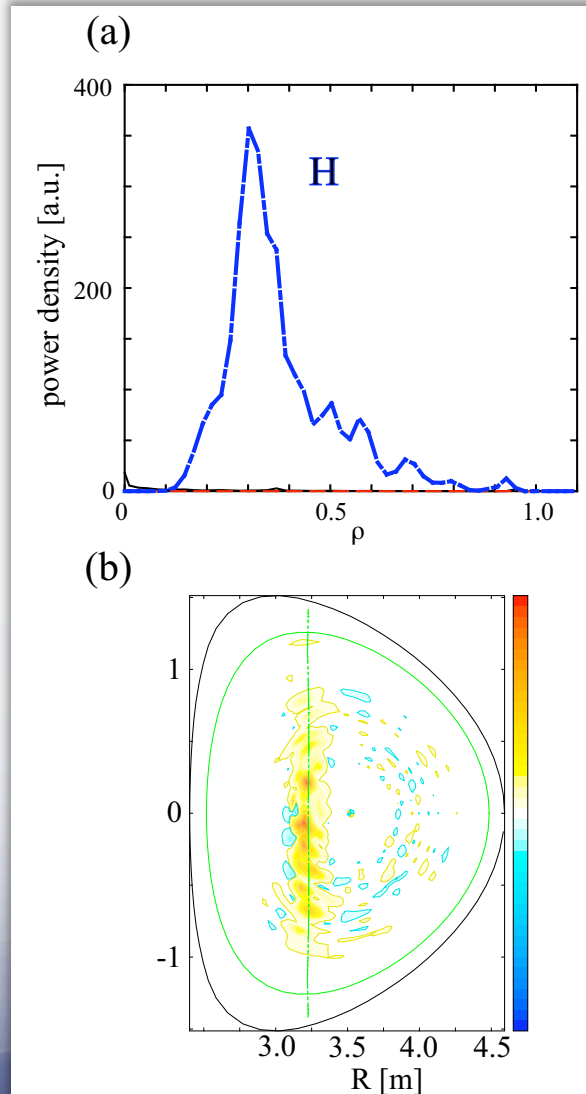
$$\frac{\partial f_s}{\partial t} = E(f_s) + C(f_s) + Q(f_s) + L(f_s) + S$$

- $E(f_s)$: Acceleration term due to direct electric field
 - $C(f_s)$: Collisional diffusion and friction term
 - $Q(f_s)$: Quasi-linear diffusion term due to wave-plasma interaction
 - $L(f_s)$ and S : Spatial diffusion term and source/sink term
- Including these features
 - **Multi species**: collisional interaction between species
 - **Bounce average**: trapped particle effect
 - **Weak relativistic**: momentum p , collision term
 - **Non-linear collision**: momentum and energy conservation

Simulation Results of TASK/WM

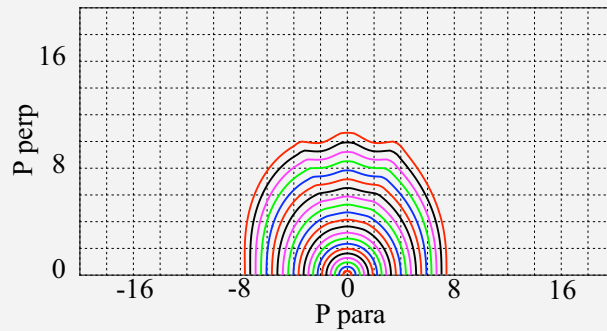
Plasma parameter (JT-60U) | wave absorption profiles (WM)

major radius	R_0	3.5m
minor radius	a	0.98m
elongation	κ	1.28
triangularity	δ	0.31
magnetic field on axis	B_0	3.3T
temperature on axis	T_0	4.0keV
temperature on surface	T_s	0.4keV
deisity on axis	n_0	$3.0 \times 10^{19}/\text{m}^3$
density on surface	n_s	$3.0 \times 10^{18}/\text{m}^3$
minority ion ratio	n_H/n_e	5%
frequency	f_{RF}	55MHz
toroidal mode number	n_ϕ	16 or 24
number of poloidal mode number	N_θ	32 ~ 64

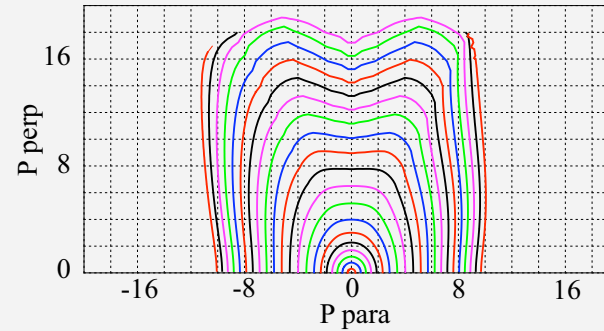


Distribution Function of H at Various Radial Points

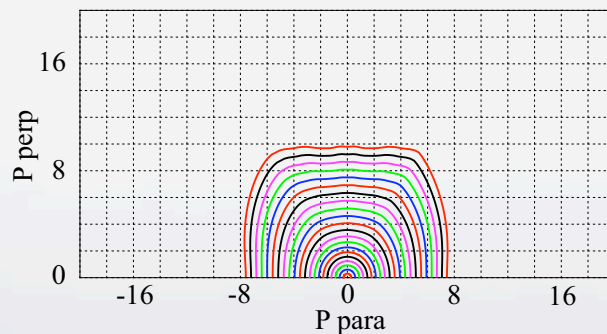
10 msec after the onset of wave heating.



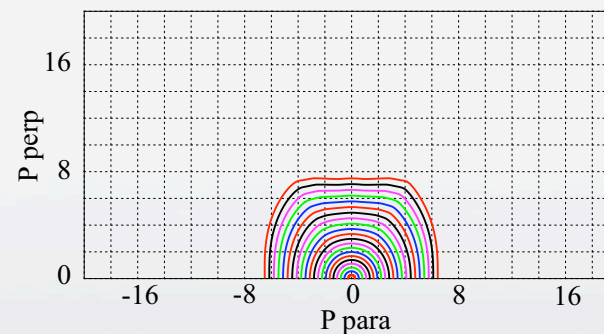
$$\rho = 0.25$$



$$\rho = 0.35$$



$$\rho = 0.45$$

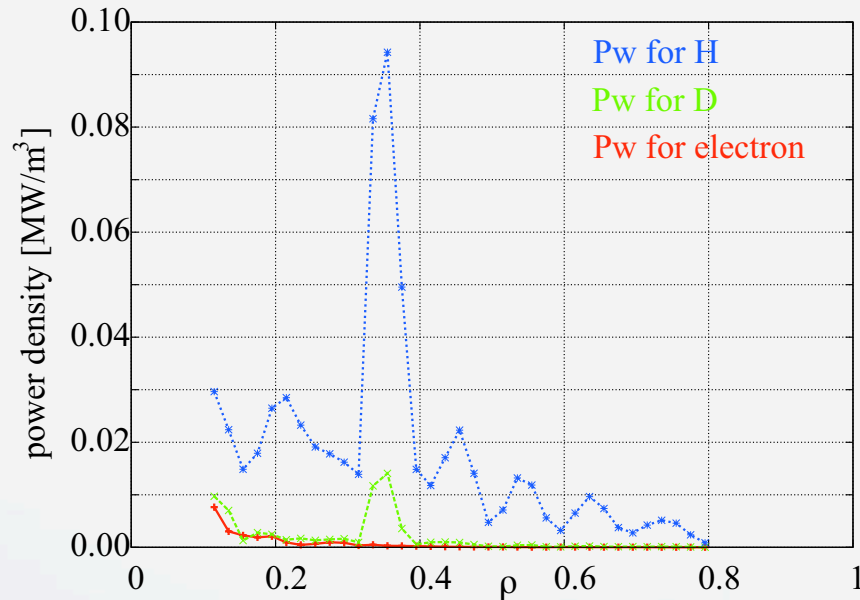


$$\rho = 0.55$$

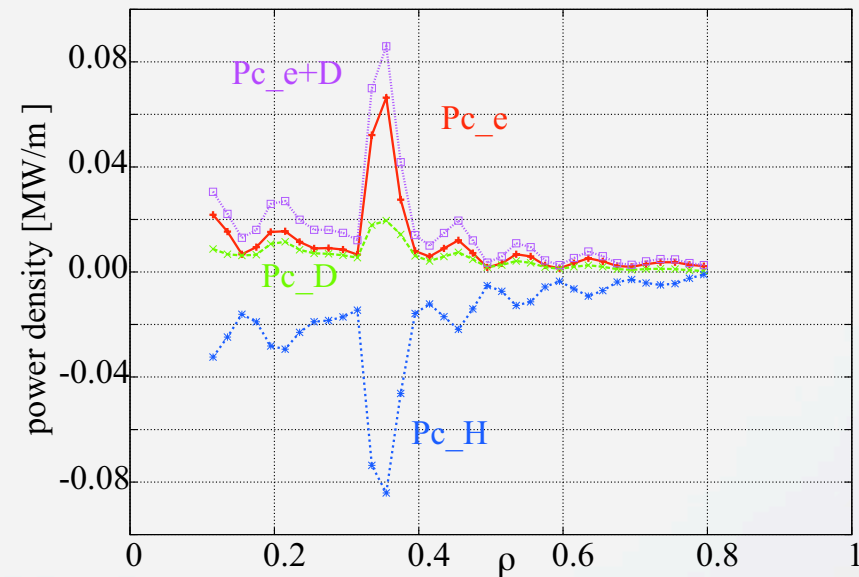
Distribution function has two tips because protons are accelerated where the particle orbits are tangential to cyclotron resonance surface.

Radial Profiles Calculated by TASK/FP

wave absorption



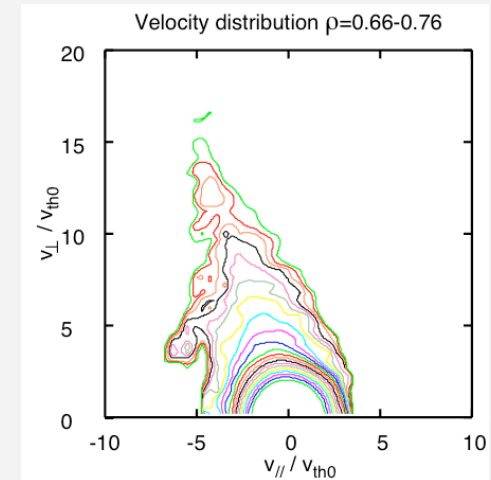
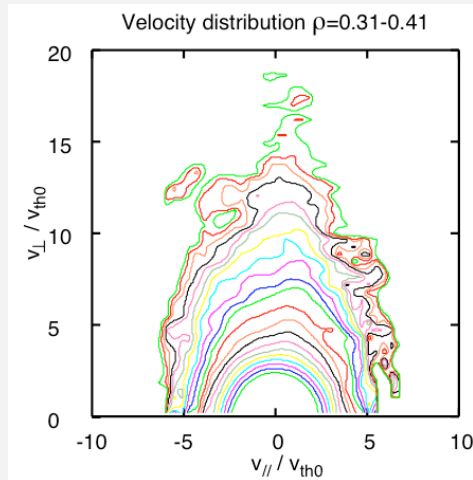
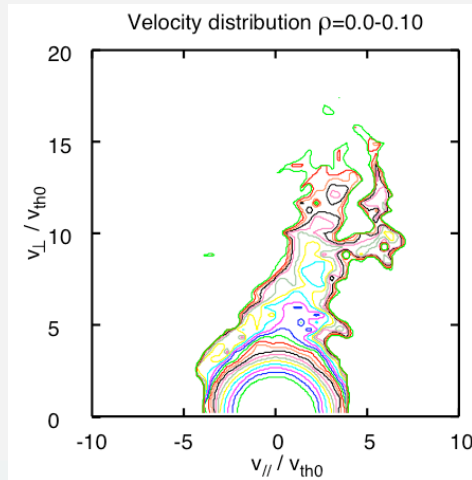
collisional power transfer



- Wave absorption has a peak at $\rho = 0.35$.
- Protons are heated by ICRF waves mainly.
- Deuterons are heated by waves through FLR effects and collision with accelerated protons.
- Electrons are heated by collision with protons

Distribution with finite k_{perp}

- On axis (42MHz, $P_{\text{abs}}=2.64\text{MW}$, $\max|E_+|=3.0\text{kV/m}$)



- On axis (42MHz, $P_{\text{abs}}=4.08\text{MW}$, $\max|E_+|=4.5\text{kV/m}$)

