



ASIPP

# Reversed Shear Alfenic Eigenmodes in Internal Transport Barriers

Deng Zhou

Institute of Plasma Physics, CAS, China



5th IAEA technical meeting on "Theory of Plasma Instabilities",

Austin USA, Sept. 5-7, 2011



## Outline

- Introduction
- Local equilibrium theory
- The Reversed Shear Alfenic Eigenmodes in  
ITB
- Conclusion

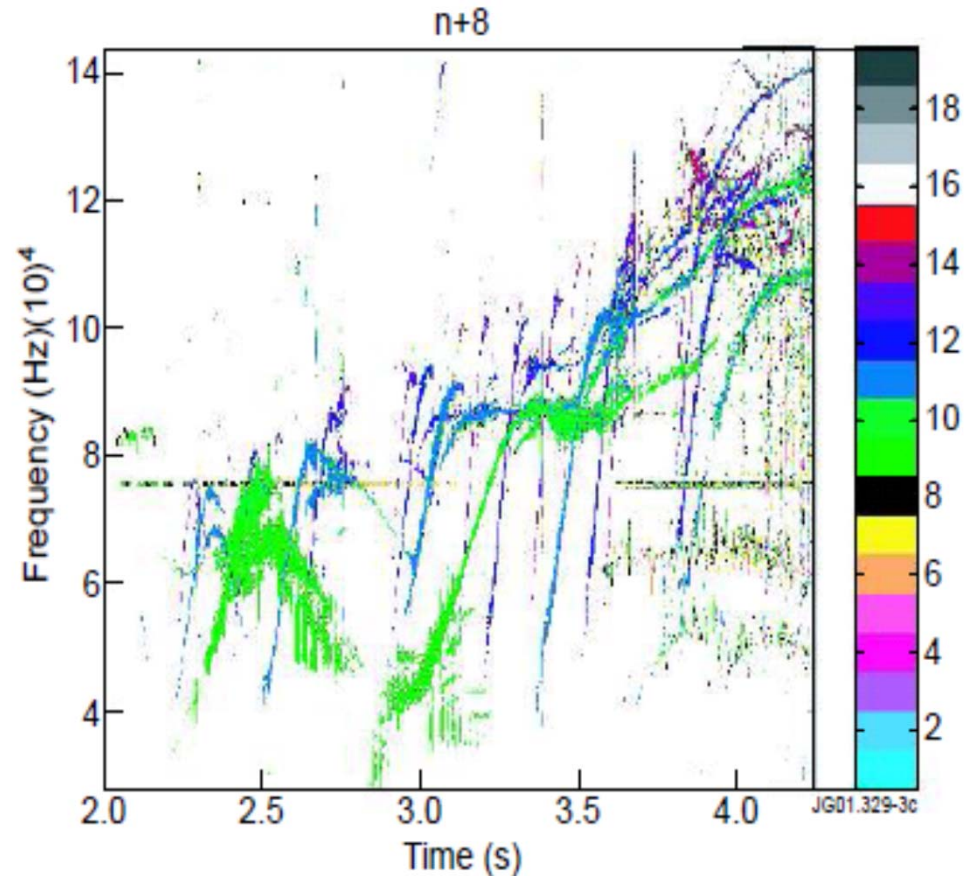
# INTRODUCTION

# Introduction

- Reversed shear Alfenic Eigenmode ( RSAEs) or Alfenic Cascades (Acs) appear around the flux surface where the magnetic shear is 0.

(Kimura98, Sharapov02, Snipes05,.....)

- The frequencies have an upward sweeping as the  $q_{min}$  value decreases in time.



Berk, *et al.*, PRL01

## Introduction(2)

- The first theoretical explanation of ACs was given by Berk *et al.*(PRL 01).
- They are sheared Alfvénic eigenmodes localized at the  $q_{\min}$  surface, usually destabilized by fast ions
- The toroidal coupling effect (Breizman03) and the finite pressure gradient effect (Fu06; Breizman05; Gorelenkov06) ) were taken into account.

## Introduction(3)

- Usually, experiments find that an internal transport barrier ( ITB ) may appear at the  $q' = 0$  surface when the safety factor is close to a low order rational number (Joffrin NF03; Razumova PPCF06).
- Previous theoretical works did not consider the effect of such a large pressure gradient; How to consider the ACs in this situation is an open question.
- The normal large aspect ratio shifted circular equilibrium model, i. e.,  $s$ - $\alpha$ model, was adopted in previous theory, the mode is only applicable for low beta circular plasma, not suitable for ITB region

## Introduction(4)

- For the analysis of ACs in ITB, the key work is to derive an equilibrium suitable for ITB region
- It is difficult to give a global analytical equilibrium model with ITB
- Measurements show that the ACs are localized at the region of  $q_{\min}$ , so the local equilibrium solution might be appropriate

Local equilibrium solution  
at ITB



- The local equilibrium theory was first developed by Mercier et al. (1974),
  - The local equilibrium is completely determined by a referenced flux surface and the poloidal magnetic field on this surface.
- For analytical application, we have to apply some constraints on the poloidal magnetic field and the referenced flux, but keep the essential feature sought.

- The referenced surface at  $q' = 0$  is circular

$$R = R_0 + \rho_0 \cos(\theta)$$

$$Z = \rho_0 \sin(\theta)$$

- The coordinate surfaces are

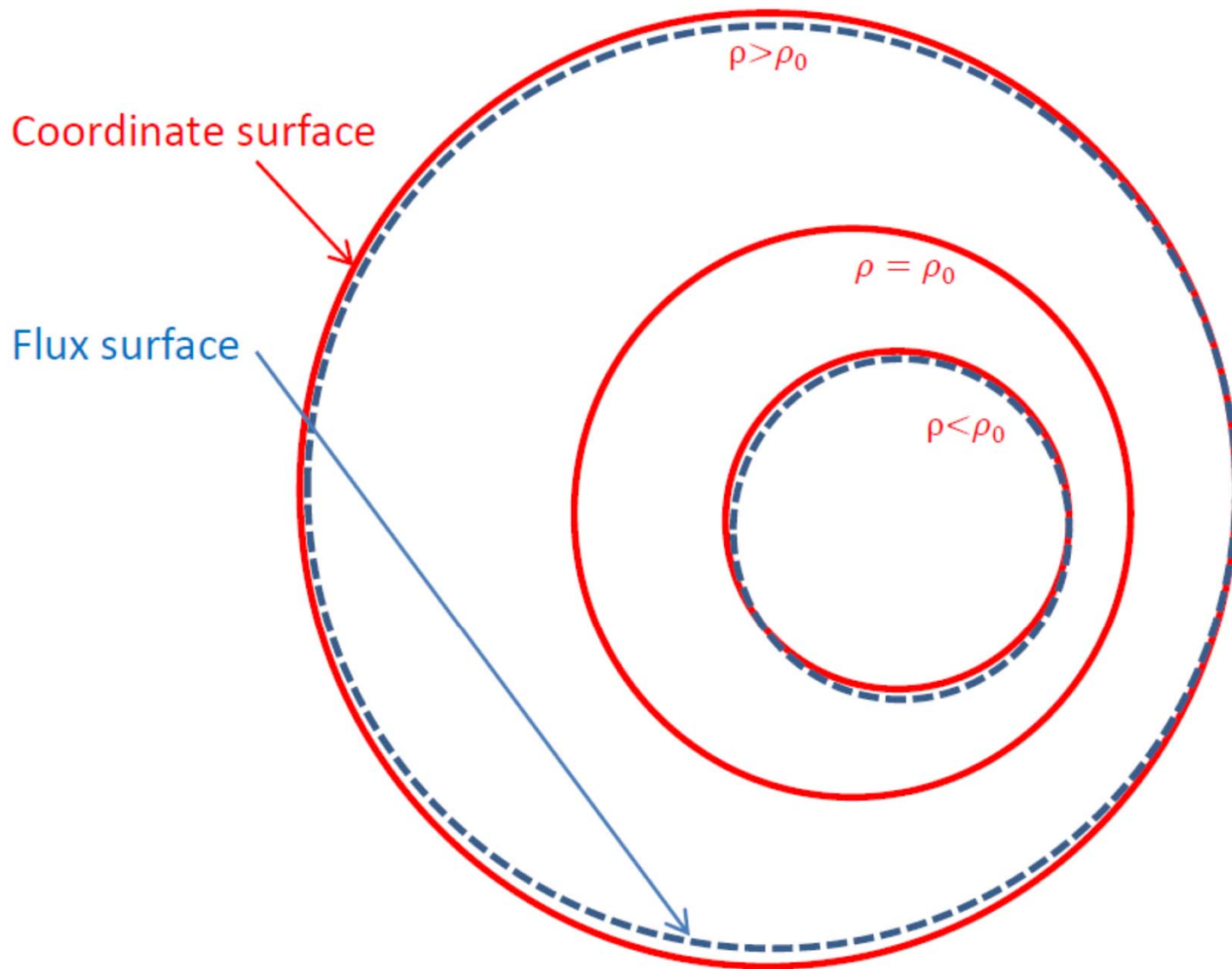
$$R = R_0 - \Delta(\rho) + \rho \cos(\theta)$$

$$Z = \rho \sin(\theta)$$

$$\Delta(\rho) = \Delta'(\rho - \rho_0)$$

$\Delta' < 1$  is a constant to be determined

- $(\rho, \theta, \varphi)$  forms a curvilinear coordinate system



## The metric tensors

$$g^{\rho\rho} = \frac{1}{(1 - \Delta' \cos \theta)^2} \quad g^{\rho\theta} = \frac{-\Delta' \sin \theta}{\rho(1 - \Delta' \cos \theta)^2}$$

$$g^{\theta\theta} = \frac{1 - 2\Delta' \cos \theta + \Delta'^2}{\rho^2(1 - \Delta' \cos \theta)^2} \quad g^{\varphi\varphi} = \frac{1}{R^2}$$

## The Jacobi

$$J = (\nabla \rho \times \nabla \theta \cdot \nabla \varphi)^{-1} = \rho R (1 - \Delta' \cos \theta)$$

## Some constraints

1. Large aspect ratio

$$\varepsilon = \rho_0 / R_0 \ll 1$$

2. Normal low Beta

$$\beta \sim \varepsilon^2$$

3. Higher pressure gradient

$$\alpha = q^2 R_0 (d\beta / dr) \sim 1$$

4. Given  $q$  and  $\alpha$ , at the referenced surface

$$q' = 0$$

## Expanding the flux

$$\psi = \psi_0 + \psi_1 x + \psi_2(\theta) x^2 + \dots$$

$$x = \rho - \rho_0$$

Iteratively , we derive

$$\rho = \rho_0 + r - \frac{\psi_2}{\psi_1} r^2 + 2 \left( \frac{\psi_2}{\psi_1} \right)^2 r^3 + \dots$$

$$r = \frac{\psi - \psi_0}{\psi_1}$$

We have to solve for the following

$$\psi_i, i = 1, 2, \dots \quad f' \quad \Delta'$$

## From the definition of $q$

$$q(\psi_0) = \frac{1}{2\pi} \oint \frac{\mathbf{B} \cdot \nabla \varphi}{\mathbf{B} \cdot \nabla \theta} d\theta = \frac{1}{2\pi} \oint \frac{\rho_0(1 - \Delta' \cos \theta) f}{R \psi_1} d\theta$$

$$\frac{\psi_1}{f} = \frac{1}{2\pi q(\psi_0)} \oint \frac{\rho_0(1 - \Delta' \cos \theta)}{R} d\theta \cong \frac{\varepsilon}{q} \left[ 1 + \frac{1}{2} \varepsilon (\varepsilon + \Delta') \right]$$

$$\mathbf{B} = \nabla \varphi \times \nabla \psi + f \nabla \varphi$$

Substitute the flux expansion into Grad-Shafranov equ. to derive all other  $\psi_i(\theta)$

$$R^2 \nabla \cdot \left( \frac{\nabla \psi}{R^2} \right) = -\mu_0 R^2 p'(\psi) - ff'(\psi)$$

Taylor expanding G-S at  $\rho = \rho_0$ , from the  $O(r^0)$  terms, yields

$$\psi_2(\theta) = -\frac{1 - \Delta' \cos \theta}{2} \left[ 1 - \frac{\rho_0}{R} \cos \theta + \frac{\Delta'^2 \sin^2 \theta}{(1 - \Delta' \cos \theta)^2} + (1 - \Delta' \cos \theta)(\mu_0 R^2 p' + ff') \right]$$

From the  $O(r^i)$  term, yields

$$\psi_{i+2}(\theta) = F(\psi_i, \psi_{i+1})$$

Two constraints

$$(1) \quad \frac{dq}{d\psi} \Big|_{\psi=\psi_0} = 0$$

$$(2) \quad \psi_2(0) = \psi_2(\pi)$$



From constraint (1)  $\frac{dq}{d\psi} \Big|_{\psi=\psi_0} = 0$

$$B(2 + \Delta'^2) + q \left( 1 + \frac{3}{2} \Delta'^2 \right) (\mu_0 R^2 p' + ff') - \frac{3}{2} q \Delta' \varepsilon \left( 1 + \frac{1}{4} \Delta'^2 \right) (\mu_0 R^2 p' - ff') = 0$$

$$ff' \sim -\mu_0 R^2 p' \sim \frac{B}{2q\varepsilon} \alpha \quad \alpha = q^2 R_0 \frac{d\beta}{dr} \sim 1$$

$$ff' \cong -\mu_0 R^2 p' - \frac{2(2 + \Delta'^2)}{q(2 + 3\Delta'^2)} B$$

From constraint (2)  $\psi_2(0) = \psi_2(\pi)$

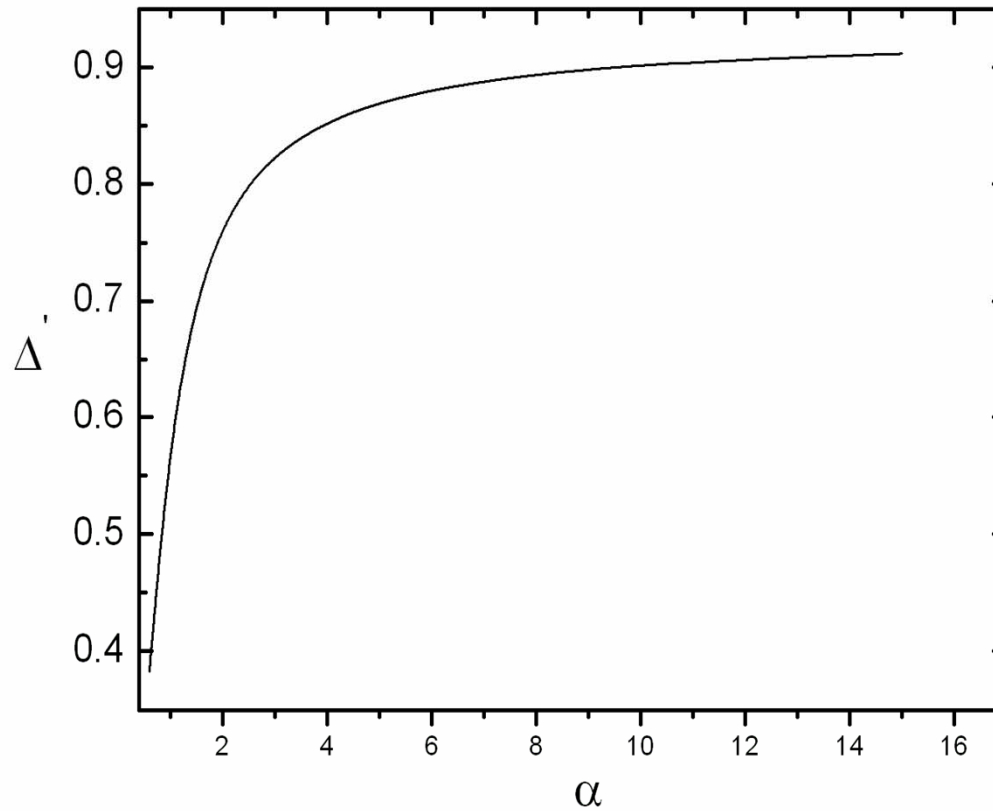
$$\varepsilon \left( \frac{1}{\Delta'} + \Delta' \right) \mu_0 R^2 p' - \left( \mu_0 R^2 p' + ff' \right) - \frac{1}{2} \left( 1 + \frac{\varepsilon}{\Delta'} \right) \frac{B}{q} = 0$$

Use

$$ff' \cong -\mu_0 R^2 p' - \frac{2(2 + \Delta'^2)}{q(2 + 3\Delta'^2)} B$$

Obtain

$$\frac{3}{4} \Delta'^4 - \frac{1}{2\alpha} \Delta'^3 + \frac{1}{2} \Delta'^2 + \frac{3}{2\alpha} \Delta' - 1 = 0$$



$\Delta'$  is of order  $O(\varepsilon^0)$ ,  $\Delta' \sim 0.932$  when  $\alpha \rightarrow \infty$

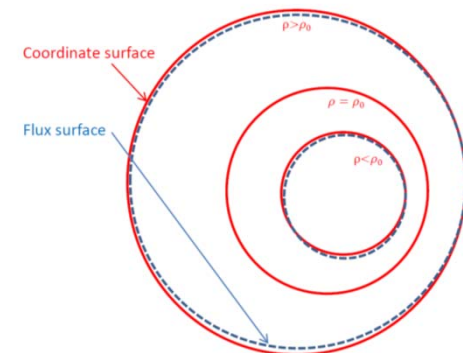
## Some discussions

1. The equilibrium at ITB is solved, the only assumption is that the flux surfaces are close to circles.

2.  $\Delta'$  is not the derivation of Shafranov shift, but since it holds that

$$\psi_2(0) = \psi_2(\pi)$$
$$\rho = \rho_0 + r - \frac{\psi_2}{\psi_1} r^2 + 2 \left( \frac{\psi_2}{\psi_1} \right)^2 r^3 + \dots$$

can be treated as the derivation of Shafranov shift of flux surfaces.



# Reversed Shear Alfenic Eigenmodes in ITB

- The local equilibrium solution is derived at ITB, in principle, we can analyze the RSAEs using this equilibrium.
- The simplest approach is to treat the shifted circles with the given  $\Delta'$  as flux surfaces, then we have constant  $\Delta'$ , and  $\Delta'' = 0$  then we can straightforwardly take the result from previous works, like Fu *et al.*, POP06.

- The standard form of the eigenvalue equation governing RSAEs is (Berk01, Fu06, Breizman03, 05, .....

$$\frac{d}{dx} (S + x^2) \frac{d}{dx} \Phi_m + (Q - S - x^2) \Phi_m = 0$$

$$S = \frac{mq_0^2}{(-k_{m0})\rho_0^2 q_0} (\bar{\omega}^2 - k_{m0}^2)$$

$$k_{m0} = n - m/q_0$$

$$\bar{\omega} = \omega / (V_A / R_0)$$

$$x = \frac{mr}{\rho_0}$$

- The existing condition for RSAEs is

$$S > 0 \quad \text{and} \quad Q > 1/4$$

- The general form of  $Q$  in shifted circle configuration is

$$Q = Q_h + Q_{tor} + Q_{pres}$$

$$Q_{tor} = \frac{2mq_0^2 (-k_{m0})}{\rho_0^2 q_0''} \frac{\varepsilon(\varepsilon + 2\Delta' \varepsilon)}{1 - 4k_{m0}^2 q_0^2}$$

$$Q_{pres} = \frac{mq_0^2}{(-k_{m0})\rho_0^2 q_0''} \left[ \frac{4\Delta' \bar{\omega}^2 \alpha - \alpha^2 / 2q_0^2}{1 - 4k_{m0}^2 q_0^2} + \frac{1}{q_0^2} \bar{\kappa}_r^2 \alpha \right]$$



- In the solved equilibrium configuration, the total contribution to  $Q$  from toroidal and the pressure effect is

$$Q = \frac{mq_0^2}{(-k_{m0})\rho_0^2 q_0} \tilde{Q}$$

$$\tilde{Q} = \left[ \frac{4\Delta' \bar{\omega}^2 \alpha - \alpha^2 / 2q_0^2 + 2k_{m0}^2 \varepsilon(\varepsilon + 2\Delta')}{1 - 4k_{m0}^2 q_0^2} + \frac{1}{q_0^2} \bar{k}_r^2 R_0 \alpha \right]$$

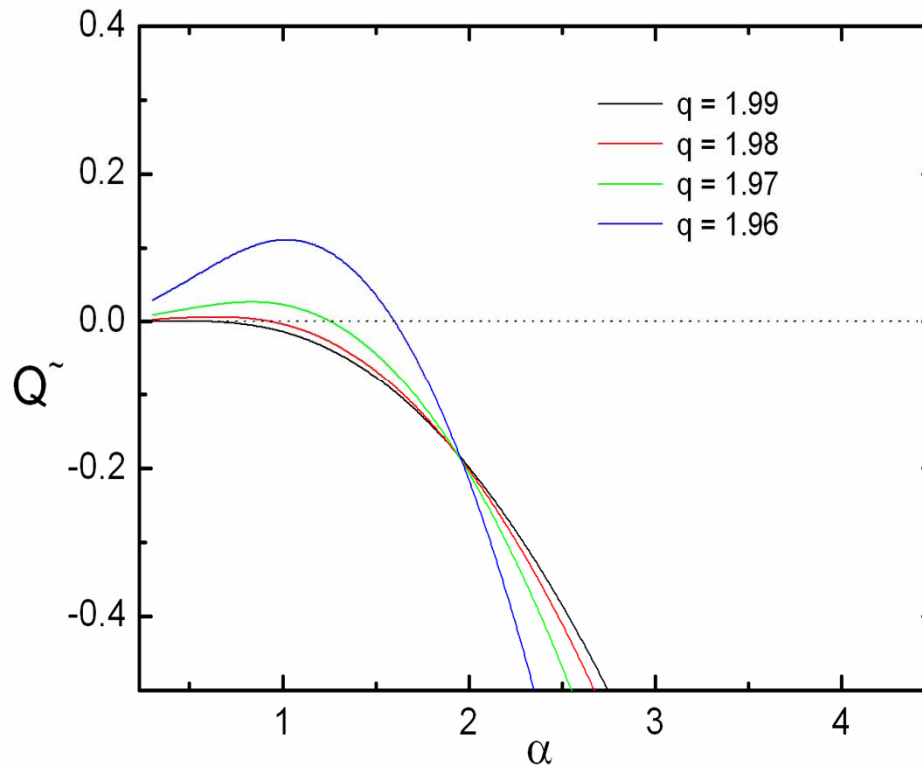
$$\bar{k}_r = \frac{\Delta'}{2R_0} + \frac{\varepsilon}{q_0^2 R_0} \left[ \frac{H}{2} + \frac{\varepsilon(1-L)}{\Delta'} \right]$$

$$H = \frac{1}{2\pi} \oint \frac{\cos \theta}{(1 - \Delta' \cos \theta)^2} d\theta$$

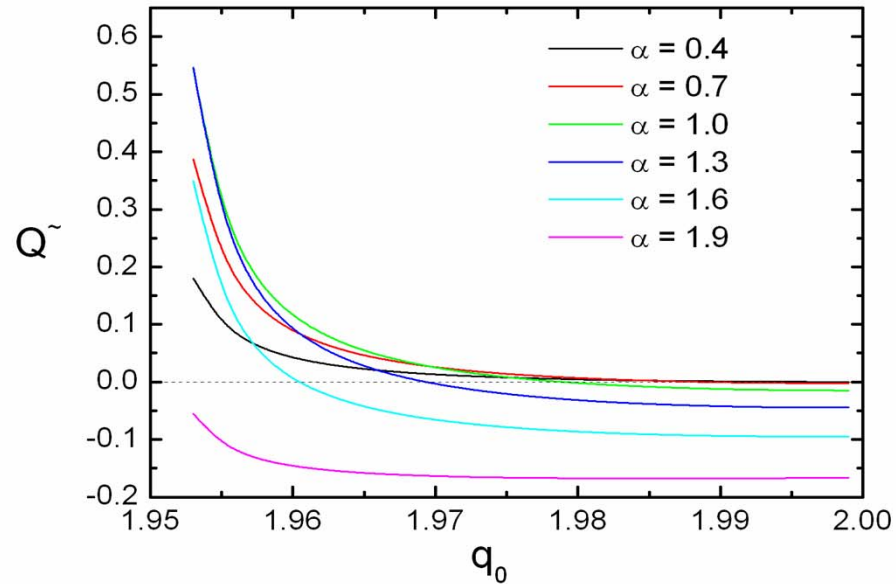
$$L = \frac{1}{2\pi} \oint \frac{1}{1 - \Delta' \cos \theta} d\theta$$

- Easy to have a numerical estimate of  $Q_{\sim}$
- The  $q_{min}$  value drops from above 2.0 to 1.95.
- Mode number  $m/n = 20/10$ ,  $\varepsilon = 0.125$

$$\bar{\omega}^2 = \varepsilon^2 + k_{m0}^2$$

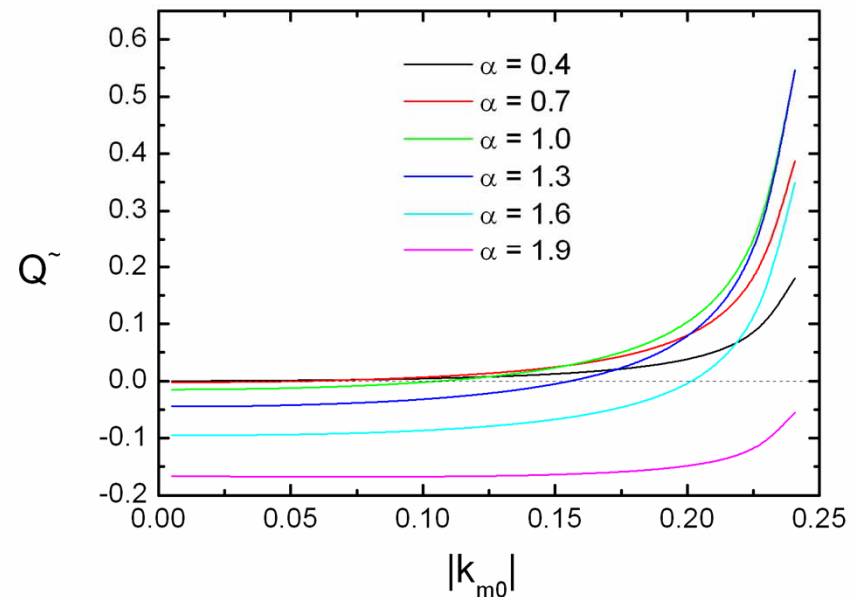


There exists a critical  $\alpha_{crit}$ , above which no RSAEs may be present  
 in this  $\alpha_{crit} \cong 1.75$



For a  $\alpha < \alpha_{crit}$ , the mode may exist only when  $q_{min}$  drops quite enough from  $m/n$ , or

$K_{m0}^2$  is larger than a critical value.



# CONCLUSIONS

1. The local equilibrium is solved at the minimum  $q$  surface for ITB case, the Shafranov shift is of order  $O(\varepsilon^0)$

2. The existence of RSAEs is analyzed for at ITB. There is a critical  $\alpha$ , above which no RSAEs exist; below the critical value, the  $(m, n)$  mode exists only when the  $q$  value drops low enough from  $m/n$ .

***Thank You***